

PROPOSED AMENDMENTS TO HOUSE BILL NO. 1477

Page 1, line 2, replace "; and to amend and reenact section" with a period

Page 1, remove line 3

Page 1, remove the underscore under lines 7 through 23

Page 1, line 24, remove the underscore under "[Pub. L. 106-102; 113 Stat. 1437; 15 U.S.C. 6802(b)(2)]." and insert immediately thereafter "However, a customer's consent is not required under this subdivision if the information is disclosed to the extent specifically permitted or required to:

- (1) Comply with federal or state laws, rules, or guidelines for the sole purpose of compliance with requirements relating to the sale or recommendation of nondeposit investment products in a financial institution;
- (2) Comply with a properly authorized civil, criminal, or regulatory investigation, subpoena, or summons by federal, state, or local authorities; or
- (3) Respond to judicial process or governmental regulatory authorities with jurisdiction for examination, compliance, or other purposes."

Page 2, remove the underscore under line 1

Page 2, remove lines 2 through 27

Renumber accordingly