

March 25, 2003

PROPOSED AMENDMENTS TO ENGROSSED HOUSE BILL NO. 1477

Page 1, line 9, after the period insert "As used in this section, "affiliate" includes those companies that are related to one another through a management contract in which one company controls the operations of another."

Page 1, line 10, remove "registered agent, a", after the third comma insert "or", and remove the second ", an"

Page 1, line 11, remove "investment adviser representative, or an issuer" and after "state" insert "and physically located in this state"

Page 1, line 16, remove "a."

Page 1, remove lines 19 through 23

Page 2, remove lines 1 through 11

Renumber accordingly