

SENATE BILL NO. 2108

Introduced by

Senators Barta, Lee, Meyer, Roers

Representatives Pyle, M. Ruby

1 A BILL for an Act to create and enact chapter 43-17.5 of the North Dakota Century Code,
2 relating to the physician assistant licensure compact.

3 **BE IT ENACTED BY THE LEGISLATIVE ASSEMBLY OF NORTH DAKOTA:**

4 **SECTION 1.** Chapter 43-17.5 of the North Dakota Century Code is created and enacted as
5 follows:

6 **43-17.5-01. Purpose.**

- 7 1. In order to strengthen access to medical services, and in recognition of the advances
8 in the delivery of medical services, the participating states of the physician assistant
9 licensure compact have allied in common purpose to develop a comprehensive
10 process that complements the existing authority of state licensing boards to license
11 and discipline physician assistants and seeks to enhance the portability of a license to
12 practice as a physician assistant while safeguarding the safety of patients.
- 13 2. This compact:
- 14 a. Allows medical services to be provided by physician assistants, via the mutual
15 recognition of the licensee's qualifying license by other compact participating
16 states.
- 17 b. Adopts the prevailing standard for physician assistant licensure.
- 18 c. Affirms the practice and delivery of medical services by the physician assistant
19 occurs where the patient is located at the time of the patient encounter, and
20 therefore requires the physician assistant to be under the jurisdiction of the state
21 licensing board where the patient is located.
- 22 3. State licensing boards that participate in this compact retain the jurisdiction to impose
23 adverse action against a compact privilege in that state issued to a physician assistant
24 through the procedures of this compact. The physician assistant licensure compact will

1 alleviate burdens for military families by allowing active duty military personnel and
2 their spouses to obtain a compact privilege based on having an unrestricted license in
3 good standing from a participating state.

4 **43-17.5-02. Definitions.**

5 In this compact:

- 6 1. "Adverse action" means any administrative, civil, equitable, or criminal action
7 permitted by a state's laws which is imposed by a licensing board or other authority
8 against a physician assistant license or license application or compact privilege
9 including license denial, censure, revocation, suspension, probation, monitoring of the
10 licensee, or restriction on the licensee's practice.
- 11 2. "Compact privilege" means the authorization granted by a remote state to allow a
12 licensee from another participating state to practice as a physician assistant to provide
13 medical services and other licensed activity to a patient located in the remote state
14 under the remote state's laws and regulations.
- 15 3. "Conviction" means a finding by a court that an individual is guilty of a felony or
16 misdemeanor offense through adjudication or entry of a plea of guilt or no contest to
17 the charge by the offender.
- 18 4. "Criminal background check" means the submission of fingerprints or other biometric-
19 based information for a license applicant for the purpose of obtaining that applicant's
20 criminal history record information, as defined in 28 C.F.R. § 20.3(d), from the state's
21 criminal history record repository as defined in 28 C.F.R. § 20.3(f).
- 22 5. "Data system" means the repository of information about licensees, including license
23 status and adverse actions, which is created and administered under the terms of this
24 compact.
- 25 6. "Executive committee" means a group of directors and ex officio individuals elected or
26 appointed under section 43-17.5-07.
- 27 7. "Impaired practitioner" means a physician assistant whose practice is adversely
28 affected by health-related conditions that impact their ability to practice.
- 29 8. "Investigative information" means information, records, or documents received or
30 generated by a licensing board pursuant to an investigation.

- 1 9. "Jurisprudence requirement" means the assessment of an individual's knowledge of
2 the laws and rules governing the practice of a physician assistant in a state.
- 3 10. "License" means current authorization by a state, other than authorization pursuant to
4 a compact privilege, for a physician assistant to provide medical services, which would
5 be unlawful without current authorization.
- 6 11. "Licensee" means an individual who holds a license from a state to provide medical
7 services as a physician assistant.
- 8 12. "Licensing board" means any state entity authorized to license and otherwise regulate
9 physician assistants.
- 10 13. "Medical services" means health care services provided for the diagnosis, prevention,
11 treatment, cure or relief of a health condition, injury, or disease, as defined by a state's
12 laws and regulations.
- 13 14. "Model compact" means the model for the physician assistant licensure compact on
14 file with the council of state governments or other entity as designated by the
15 commission.
- 16 15. "Participating state" means a state that has enacted this compact.
- 17 16. "Physician assistant" means an individual who is licensed as a physician assistant in a
18 state. For purposes of this compact, any other title or status adopted by a state to
19 replace the term "physician assistant" is deemed synonymous with "physician
20 assistant" and confers the same rights and responsibilities to the licensee under the
21 provisions of this compact at the time of its enactment.
- 22 17. "Physician assistant licensure compact commission", "compact commission", or
23 "commission" mean the national administrative body created pursuant to section
24 43-17.5-07 of this compact.
- 25 18. "Qualifying license" means an unrestricted license issued by a participating state to
26 provide medical services as a physician assistant.
- 27 19. "Remote state" means a participating state where a licensee who is not licensed as a
28 physician assistant is exercising or seeking to exercise the compact privilege.
- 29 20. "Rule" means a regulation promulgated by an entity that has the force and effect of
30 law.

1 21. "Significant investigative information" means investigative information that a licensing
2 board, after an inquiry or investigation that includes notification and an opportunity for
3 the physician assistant to respond if required by state law, has reason to believe is not
4 groundless and, if proven true, would indicate more than a minor infraction.

5 22. "State" means any state, commonwealth, district, or territory of the United States.

6 **43-17.5-03. State participation in this compact.**

7 1. To participate in this compact, a participating state shall:

8 a. License physician assistants;

9 b. Participate in the compact commission's data system;

10 c. Have a mechanism in place for receiving and investigating complaints against
11 licensees and license applicants;

12 d. Notify the commission, in compliance with the terms of this compact and
13 commission rules, of any adverse action against a licensee or license applicant
14 and the existence of significant investigative information regarding a licensee or
15 license applicant;

16 e. Fully implement a criminal background check requirement, within a time frame
17 established by commission rule, by its licensing board receiving the results of a
18 criminal background check and reporting to the commission whether the license
19 applicant has been granted a license;

20 f. Comply with the rules of the compact commission;

21 g. Utilize passage of a recognized national exam, such as the national commission
22 on certification of physician assistants' physician assistant national certifying
23 examination, as a requirement for physician assistant licensure; and

24 h. Grant the compact privilege to a holder of a qualifying license in a participating
25 state.

26 2. This compact does not prohibit a participating state from charging a fee for granting
27 the compact privilege.

28 **43-17.5-04. Compact privilege.**

29 1. To exercise the compact privilege, a licensee shall:

- 1 a. Have graduated from a physician assistant program accredited by the
- 2 accreditation review commission on education for the physician assistant or other
- 3 program authorized by commission rule;
- 4 b. Hold current national commission on certification of physician assistants'
- 5 certification;
- 6 c. Have no felony or misdemeanor conviction;
- 7 d. Have never had a controlled substance license, permit, or registration suspended
- 8 or revoked by a state or by the United States drug enforcement administration;
- 9 e. Have a unique identifier as determined by commission rule;
- 10 f. Hold a qualifying license;
- 11 g. Have had no revocation of a license or limitation or restriction on any license
- 12 currently held due to an adverse action, or if a licensee had a limitation or
- 13 restriction on a license or compact privilege due to an adverse action, two years
- 14 has elapsed from the date on which the license or compact privilege is no longer
- 15 limited or restricted due to the adverse action, or if a compact privilege has been
- 16 revoked or is limited or restricted in a participating state for conduct that would
- 17 not be a basis for disciplinary action in a participating state in which the licensee
- 18 is practicing or applying to practice under a compact privilege, that participating
- 19 state may not consider such action as an adverse action requiring the denial or
- 20 removal of a compact privilege in that state;
- 21 h. Notify the compact commission that the licensee is seeking the compact privilege
- 22 in a remote state;
- 23 i. Meet any jurisprudence requirement of a remote state in which the licensee is
- 24 seeking to practice under the compact privilege and pay any fees applicable to
- 25 satisfying the jurisprudence requirement; and
- 26 j. Report to the commission any adverse action taken by a nonparticipating state
- 27 within thirty days after the action is taken.
- 28 2. The compact privilege is valid until the expiration or revocation of the qualifying license
- 29 unless terminated pursuant to an adverse action. The licensee shall comply with the
- 30 requirements of subsection 1 to maintain the compact privilege in a remote state. If the
- 31 participating state takes adverse action against a qualifying license, the licensee loses

- 1 the compact privilege in any remote state in which the licensee has a compact
2 privilege until all of the following occur:
- 3 a. The license is no longer limited or restricted; and
4 b. Two years have elapsed from the date on which the license is no longer limited or
5 restricted due to the adverse action.
- 6 3. Once a restricted or limited license satisfies the requirements of subsection 2, the
7 licensee shall meet the requirements of subsection 1 to obtain a compact privilege in
8 any remote state.
- 9 4. For each remote state in which a physician assistant seeks authority to prescribe
10 controlled substances, the physician assistant shall satisfy all requirements imposed
11 by such state in granting or renewing such authority.

12 **43-17.5-05. Designation of the state from which a licensee is applying for a compact**
13 **privilege.**

14 Upon a licensee's application for a compact privilege, the licensee shall identify to the
15 commission the participating state from which the licensee is applying, in accordance with
16 applicable rules adopted by the commission, and subject to the following requirements when
17 applying for a compact privilege, the licensee shall:

- 18 1. Provide the commission with the address of the licensee's primary residence and
19 thereafter shall immediately report to the commission any change in the address of the
20 licensee's primary residence.
- 21 2. Consent to accept service of process by mail at the licensee's primary residence on
22 file with the commission with respect to any action brought against the licensee by the
23 commission or a participating state, including a subpoena, with respect to any action
24 brought or investigation conducted by the commission or a participating state.

25 **43-17.5-06. Adverse actions.**

- 26 1. A participating state in which a licensee is licensed has exclusive power to impose
27 adverse action against the qualifying license issued by that participating state.
- 28 2. In addition to the other powers conferred by state law, a remote state, in accordance
29 with existing state due process law, may:

- 1 a. Take adverse action against a physician assistant's compact privilege within that
2 state to remove a licensee's compact privilege or take other action necessary
3 under applicable law to protect the health and safety of its citizens.
- 4 b. Issue subpoenas for both hearings and investigations that require the attendance
5 and testimony of witnesses as well as the production of evidence. Subpoenas
6 issued by a licensing board in a participating state for the attendance and
7 testimony of witnesses or the production of evidence from another participating
8 state must be enforced in the latter state by any court of competent jurisdiction,
9 according to the practice and procedure of that court applicable to subpoenas
10 issued in proceedings pending before it. The issuing authority shall pay any
11 witness fees, travel expenses, mileage and other fees required by the service
12 statutes of the state in which the witnesses or evidence are located.
- 13 3. Notwithstanding subsection 2, subpoenas may not be issued by a participating state to
14 gather evidence of conduct in another state that is lawful in that other state for the
15 purpose of taking adverse action against a licensee's compact privilege or application
16 for a compact privilege in that participating state.
- 17 4. This compact does not authorize a participating state to impose discipline against a
18 physician assistant's compact privilege or to deny an application for a compact
19 privilege in that participating state for the individual's otherwise lawful practice in
20 another state.
- 21 5. For purposes of taking adverse action, the participating state which issued the
22 qualifying license shall give the same priority and effect to reported conduct received
23 from any other participating state as it would if the conduct had occurred within the
24 participating state which issued the qualifying license. In so doing, that participating
25 state shall apply its own state laws to determine appropriate action.
- 26 6. A participating state, if otherwise permitted by state law, may recover from the affected
27 physician assistant the costs of investigations and disposition of cases resulting from
28 any adverse action taken against that physician assistant.
- 29 7. A participating state may take adverse action based on the factual findings of a remote
30 state, provided the participating state follows its own procedures for taking the adverse
31 action.

1 8. Joint investigations.

2 a. In addition to the authority granted to a participating state by its respective state
3 physician assistant laws and regulations or other applicable state law, any
4 participating state may participate with other participating states in joint
5 investigations of licensees.

6 b. Participating states shall share any investigative, litigation, or compliance
7 materials in furtherance of any joint or individual investigation initiated under this
8 compact.

9 9. If an adverse action is taken against a physician assistant's qualifying license, the
10 physician assistant's compact privilege in all remote states must be deactivated until
11 two years have elapsed after all restrictions have been removed from the state
12 license. All disciplinary orders by the participating state which issued the qualifying
13 license that impose adverse action against a physician assistant's license must
14 include a statement that the physician assistant's compact privilege is deactivated in
15 all participating states during the pendency of the order.

16 10. If any participating state takes adverse action, it promptly shall notify the administrator
17 of the data system.

18 **43-17.5-07. Establishment of the physician assistant licensure compact commission.**

19 1. The participating states hereby create and establish a joint government agency and
20 national administrative body known as the physician assistant licensure compact
21 commission. The commission is an instrumentality of the compact states acting jointly
22 and not an instrumentality of any one state. The commission comes into existence on
23 or after the effective date of the compact as set forth in section 43-17.5-11.

24 2. Membership, voting, and meetings.

25 a. Each participating state must have and be limited to one delegate selected by
26 that participating state's licensing board or, if the state has more than one
27 licensing board, selected collectively by the participating state's licensing boards.

28 b. The delegate must be either:

29 (1) A current physician assistant, physician, public member of a licensing board,
30 or physician assistant council or committee; or

31 (2) An administrator of a licensing board.

- 1 c. Any delegate may be removed or suspended from office as provided by the laws
2 of the state from which the delegate is appointed.
- 3 d. The participating state licensing board shall fill any vacancy occurring in the
4 commission within sixty days.
- 5 e. Each delegate is entitled to one vote on all matters voted on by the commission
6 and shall otherwise have an opportunity to participate in the business and affairs
7 of the commission. A delegate may vote in person or by such other means as
8 provided in the bylaws. The bylaws may provide for delegates' participation in
9 meetings by telecommunications, video conference, or other means of
10 communication.
- 11 f. The commission shall meet at least once during each calendar year. Additional
12 meetings must be held as set forth in this compact and the bylaws.
- 13 g. The commission shall establish by rule a term of office for delegates.
- 14 3. The commission shall have the following powers and duties:
- 15 a. Establish a code of ethics for the commission;
- 16 b. Establish the fiscal year of the commission;
- 17 c. Establish fees;
- 18 d. Establish bylaws;
- 19 e. Maintain its financial records in accordance with the bylaws;
- 20 f. Meet and take such actions as are consistent with the provisions of this compact
21 and the bylaws;
- 22 g. Promulgate rules, which have the force and effect of law and are binding in all
23 participating states, to facilitate and coordinate implementation and
24 administration of this compact;
- 25 h. Bring and prosecute legal proceedings or actions in the name of the commission,
26 provided the standing of any state licensing board to sue or be sued under
27 applicable law is not affected;
- 28 i. Purchase and maintain insurance and bonds;
- 29 j. Borrow, accept, or contract for services of personnel, including employees of a
30 participating state;

- 1 k. Hire employees and engage contractors, elect or appoint officers, fix
2 compensation, define duties, grant such individuals appropriate authority to carry
3 out the purposes of this compact, and establish the commission's personnel
4 policies and programs relating to conflicts of interest, qualifications of personnel,
5 and other related personnel matters;
- 6 l. Accept any appropriate donations and grants of money, equipment, supplies,
7 materials and services, and receive, utilize and dispose of the same; provided at
8 all times the commission avoids any appearance of impropriety or conflict of
9 interest;
- 10 m. Lease, purchase, accept appropriate gifts or donations of, or otherwise own,
11 hold, improve or use, any property, real, personal or mixed; provided the
12 commission avoids any appearance of impropriety;
- 13 n. Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose
14 of any property real, personal, or mixed;
- 15 o. Establish a budget and make expenditures;
- 16 p. Borrow money;
- 17 q. Appoint committees, including standing committees composed of members, state
18 regulators, state legislators or their representatives, consumer representatives,
19 and such other interested persons as may be designated in this compact and the
20 bylaws;
- 21 r. Provide and receive information from, and cooperate with, law enforcement
22 agencies;
- 23 s. Elect a chair, vice chair, secretary, treasurer, and such other officers of the
24 commission as provided in the commission's bylaws;
- 25 t. Reserve for itself, in addition to those reserved exclusively to the commission
26 under the compact, powers that the executive committee may not exercise;
- 27 u. Approve or disapprove a state's participation in the compact based on its
28 determination as to whether the state's compact legislation departs in a material
29 manner from the model compact language;
- 30 v. Prepare and provide to the participating states an annual report; and

- 1 w. Perform such other functions as may be necessary or appropriate to achieve the
2 purposes of this compact consistent with the state regulation of physician
3 assistant licensure and practice.
- 4 4. Meetings of the commission.
- 5 a. All meetings of the commission that are not closed pursuant to this subsection
6 must be open to the public. Notice of public meetings must be posted on the
7 commission's website at least thirty days prior to the public meeting.
- 8 b. Notwithstanding subdivision a, the commission may convene a public meeting by
9 providing at least twenty-four hours prior notice on the commission's website, and
10 any other means as provided in the commission's rules, for any of the reasons it
11 may dispense with notice of proposed rulemaking under section 43-17.5-09.
- 12 c. The commission may convene in a closed, nonpublic meeting or nonpublic part
13 of a public meeting to receive legal advice or to discuss:
- 14 (1) Noncompliance of a participating state with its obligations under this
15 compact;
- 16 (2) The employment, compensation, discipline or other matters, practices or
17 procedures related to specific employees or other matters related to the
18 commission's internal personnel practices and procedures;
- 19 (3) Current, threatened, or reasonably anticipated litigation;
- 20 (4) Negotiation of contracts for the purchase, lease, or sale of goods, services,
21 or real estate;
- 22 (5) Accusing any person of a crime or formally censuring any person;
- 23 (6) Disclosure of trade secrets or commercial or financial information that is
24 privileged or confidential;
- 25 (7) Disclosure of information of a personal nature where disclosure would
26 constitute a clearly unwarranted invasion of personal privacy;
- 27 (8) Disclosure of investigative records compiled for law enforcement purposes;
- 28 (9) Disclosure of information related to any investigative reports prepared by or
29 on behalf of or for use of the commission or other committee charged with
30 responsibility of investigation or determination of compliance issues
31 pursuant to this compact;

1 (10) Legal advice; or

2 (11) Matters specifically exempted from disclosure by federal or participating
3 states' statutes.

4 d. If a meeting, or portion of a meeting, is closed pursuant to this section, the chair
5 of the meeting or the chair's designee shall certify the meeting or portion of the
6 meeting may be closed and shall reference each relevant exempting provision.

7 e. The commission shall keep minutes that fully and clearly describe all matters
8 discussed in a meeting and provide a full and accurate summary of actions
9 taken, including a description of the views expressed. All documents considered
10 in connection with an action must be identified in such minutes. All minutes and
11 documents of a closed meeting must remain under seal, subject to release by a
12 majority vote of the commission or order of a court of competent jurisdiction.

13 5. Financing of the commission.

14 a. The commission shall pay, or provide for the payment of, the reasonable
15 expenses of its establishment, organization, and ongoing activities.

16 b. The commission may accept any appropriate revenue sources, donations, and
17 grants of money, equipment, supplies, materials, and services.

18 c. The commission may levy on and collect an annual assessment from each
19 participating state and may impose compact privilege fees on licensees of
20 participating states to whom a compact privilege is granted to cover the cost of
21 the operations and activities of the commission and its staff, which must be in a
22 total amount sufficient to cover its annual budget as approved by the commission
23 each year for which revenue is not provided by other sources. The aggregate
24 annual assessment amount levied on participating states must be allocated
25 based upon a formula to be determined by commission rule.

26 (1) A compact privilege expires when the licensee's qualifying license in the
27 participating state from which the licensee applied for the compact privilege
28 expires.

29 (2) If the licensee terminates the qualifying license through which the licensee
30 applied for the compact privilege before its scheduled expiration, and the
31 licensee has a qualifying license in another participating state, the licensee

- 1 shall inform the commission that it is changing to that participating state the
2 participating state through which it applies for a compact privilege and pay
3 to the commission any compact privilege fee required by commission rule.
- 4 d. The commission may not incur obligations of any kind prior to securing the funds
5 adequate to meet the same; nor may the commission pledge the credit of any of
6 the participating states, except by and with the authority of the participating state.
- 7 e. The commission shall keep accurate accounts of all receipts and disbursements.
8 The receipts and disbursements of the commission are subject to the financial
9 review and accounting procedures established under its bylaws. All receipts and
10 disbursements of funds handled by the commission are subject to an annual
11 financial review by a certified or licensed public accountant, and the report of the
12 financial review must be included in and become part of the annual report of the
13 commission.
- 14 6. The executive committee.
- 15 a. The executive committee may act on behalf of the commission according to the
16 terms of this compact and commission rules.
- 17 b. The executive committee must be composed of nine members:
- 18 (1) Seven voting members who are elected by the commission from the current
19 membership of the commission;
- 20 (2) One ex officio, nonvoting member from a recognized national physician
21 assistant professional association; and
- 22 (3) One ex officio, nonvoting member from a recognized national physician
23 assistant certification organization.
- 24 c. The ex officio members will be selected by their respective organizations.
- 25 d. The commission may remove any member of the executive committee as
26 provided in its bylaws.
- 27 e. The executive committee shall:
- 28 (1) Meet at least annually;
- 29 (2) Recommend to the commission changes to the commission's rules or
30 bylaws, changes to this compact legislation, fees to be paid by compact

- 1 participating states including annual dues and any commission compact fee
2 charged to licensees for the compact privilege;
- 3 (3) Ensure compact administration services are appropriately provided,
4 contractual or otherwise;
- 5 (4) Prepare and recommend the budget;
- 6 (5) Maintain financial records on behalf of the commission;
- 7 (6) Monitor compact compliance of participating states and provide compliance
8 reports to the commission;
- 9 (7) Establish additional committees as necessary;
- 10 (8) Exercise the powers and duties of the commission during the interim
11 between commission meetings, except for issuing proposed rulemaking or
12 adopting commission rules or bylaws, or exercising any other powers and
13 duties exclusively reserved to the commission by the commission's rules;
14 and
- 15 (9) Perform other duties as provided in the commission's rules or bylaws.
- 16 f. All meetings of the executive committee at which it votes or plans to vote on
17 matters in exercising the powers and duties of the commission must be open to
18 the public and public notice of such meetings must be given as public meetings
19 of the commission are given.
- 20 g. The executive committee may convene in a closed, nonpublic meeting for the
21 same reasons the commission may convene in a nonpublic meeting under
22 subsection 4, and shall announce the closed meeting and keep minutes of the
23 closed meeting as the commission is required to do under subsection 4.
- 24 7. Qualified immunity, defense, and indemnification.
- 25 a. The members, officers, executive director, employees, and representatives of the
26 commission are immune from suit and liability, both personally and in their official
27 capacity, for any claim for damage to or loss of property or personal injury or
28 other civil liability caused by or arising out of any actual or alleged act, error, or
29 omission that occurred, or that the person against whom the claim is made had a
30 reasonable basis for believing occurred within the scope of commission
31 employment, duties or responsibilities; provided that nothing in this paragraph is

1 construed to protect any such person from suit or liability for any damage, loss,
2 injury, or liability caused by the intentional or willful or wanton misconduct of that
3 person. The procurement of insurance of any type by the commission does not in
4 any way compromise or limit the immunity granted under this section.

5 b. The commission shall defend any member, officer, executive director, employee,
6 and representative of the commission in any civil action seeking to impose
7 liability arising out of any actual or alleged act, error, or omission that occurred
8 within the scope of commission employment, duties, or responsibilities, or as
9 determined by the commission that the person against whom the claim is made
10 had a reasonable basis for believing occurred within the scope of commission
11 employment, duties, or responsibilities; provided that nothing herein is construed
12 to prohibit that person from retaining their own counsel at their own expense; and
13 provided further, that the actual or alleged act, error, or omission did not result
14 from that person's intentional or willful or wanton misconduct.

15 c. The commission shall indemnify and hold harmless any member, officer,
16 executive director, employee, and representative of the commission for the
17 amount of any settlement or judgment obtained against that person arising out of
18 any actual or alleged act, error, or omission that occurred within the scope of
19 commission employment, duties, or responsibilities, or that such person had a
20 reasonable basis for believing occurred within the scope of commission
21 employment, duties, or responsibilities, provided the actual or alleged act, error,
22 or omission did not result from the intentional or willful or wanton misconduct of
23 that person.

24 d. Venue is proper and judicial proceedings by or against the commission must be
25 brought solely and exclusively in a court of competent jurisdiction where the
26 principal office of the commission is located. The commission may waive venue
27 and jurisdictional defenses in any proceedings as authorized by commission
28 rules.

29 e. This compact may not be construed as a limitation on the liability of any licensee
30 for professional malpractice or misconduct, which must be governed solely by
31 any other applicable state laws.

- 1 f. This compact may not be construed to designate the venue or jurisdiction to bring
2 actions for alleged acts of malpractice, professional misconduct, negligence, or
3 other such civil action pertaining to the practice of a physician assistant. All such
4 matters must be determined exclusively by state law other than this compact.
- 5 g. This compact may not be interpreted to waive or otherwise abrogate a
6 participating state's state action immunity or state action affirmative defense with
7 respect to antitrust claims under the Sherman Act, Clayton Act, or any other state
8 or federal antitrust or anticompetitive law or regulation.
- 9 h. This compact may not be construed to be a waiver of sovereign immunity by the
10 participating states or by the commission.

11 **43-17.5-08. Data system.**

- 12 1. The commission shall provide for the development, maintenance, operation, and
13 utilization of a coordinated data and reporting system containing licensure, adverse
14 action, and the reporting of the existence of significant investigative information on all
15 licensed physician assistants and applicants denied a license in participating states.
- 16 2. Notwithstanding any other state law to the contrary, a participating state shall submit a
17 uniform data set to the data system on all physician assistants to whom this compact
18 is applicable, utilizing a unique identifier, as required by the rules of the commission,
19 including:
- 20 a. Identifying information;
- 21 b. Licensure data;
- 22 c. Adverse actions against a license or compact privilege;
- 23 d. Any denial of application for licensure, and the reasons for such denial, excluding
24 the reporting of any criminal history record information where prohibited by law;
- 25 e. The existence of significant investigative information; and
- 26 f. Other information that may facilitate the administration of this compact, as
27 determined by the rules of the commission.
- 28 3. Significant investigative information pertaining to a licensee in any participating state
29 must only be available to other participating states.
- 30 4. The commission shall promptly notify all participating states of any adverse action
31 taken against a licensee or an individual applying for a license which has been

1 reported to it. This adverse action information must be available to any other
2 participating state.

3 5. Participating states contributing information to the data system may, in accordance
4 with state or federal law, designate information that may not be shared with the public
5 without the express permission of the contributing state. Notwithstanding any such
6 designation, such information must be reported to the commission through the data
7 system.

8 6. Any information submitted to the data system which is subsequently expunged
9 pursuant to federal law or the laws of the participating state contributing the
10 information must be removed from the data system upon reporting of such by the
11 participating state to the commission.

12 7. The records and information provided to a participating state pursuant to this compact
13 or through the data system, when certified by the commission or an agent thereof,
14 constitutes the authenticated business records of the commission, and is entitled to
15 any associated hearsay exception in any relevant judicial, quasi-judicial, or
16 administrative proceedings in a participating state.

17 **43-17.5-09. Rulemaking.**

18 1. The commission shall exercise its rulemaking powers pursuant to the criteria set forth
19 in this section and the rules adopted thereunder. Commission rules become binding as
20 of the date specified by the commission for each rule.

21 2. The commission shall promulgate reasonable rules to effectively and efficiently
22 implement and administer this compact and achieve its purposes. A commission rule is
23 invalid and without force or effect only if a court of competent jurisdiction holds the rule
24 is invalid because the commission exercised its rulemaking authority in a manner that
25 is beyond the scope of the purposes of this compact, or the powers granted
26 hereunder, or based upon another applicable standard of review.

27 3. The rules of the commission have the force of law in each participating state, provided
28 however that where the rules of the commission conflict with the laws of the
29 participating state that establish the medical services a physician assistant may
30 perform in the participating state, as held by a court of competent jurisdiction, the rules
31 of the commission are ineffective in that state to the extent of the conflict.

- 1 4. If a majority of the legislatures of the participating states rejects a commission rule, by
2 enactment of a statute or resolution in the same manner used to adopt this compact
3 within four years of the date of adoption of the rule, then such rule has no further force
4 and effect in any participating state or to any state applying to participate in the
5 compact.
- 6 5. Commission rules must be adopted at a regular or special meeting of the commission.
- 7 6. Prior to promulgation and adoption of a final rule or rules by the commission, and at
8 least thirty days in advance of the meeting at which the rule will be considered and
9 voted upon, the commission shall file a notice of proposed rulemaking:
 - 10 a. On the website of the commission or other publicly accessible platform;
 - 11 b. To persons who have requested notice of the commission's notices of proposed
12 rulemaking; and
 - 13 c. In such other ways as the commission may by rule specify.
- 14 7. The notice of proposed rulemaking must include:
 - 15 a. The time, date, and location of the public hearing on the proposed rule and the
16 proposed time, date, and location of the meeting in which the proposed rule will
17 be considered and voted upon;
 - 18 b. The text of the proposed rule and the reason for the proposed rule;
 - 19 c. A request for comments on the proposed rule from any interested person and the
20 date by which written comments must be received; and
 - 21 d. The manner in which interested persons may submit notice to the commission of
22 their intention to attend the public hearing or provide any written comments.
- 23 8. Prior to adoption of a proposed rule, the commission shall allow persons to submit
24 written data, facts, opinions, and arguments, which must be made available to the
25 public.
- 26 9. If the hearing is to be held via electronic means, the commission shall publish the
27 mechanism for access to the electronic hearing.
 - 28 a. All persons wishing to be heard at the hearing shall as directed in the notice of
29 proposed rulemaking, not less than five business days before the scheduled date
30 of the hearing, notify the commission of their desire to appear and testify at the
31 hearing.

- 1 b. Hearings must be conducted in a manner providing each person who wishes to
2 comment a fair and reasonable opportunity to comment orally or in writing.
- 3 c. All hearings must be recorded. A copy of the recording and the written comments,
4 data, facts, opinions, and arguments received in response to the proposed
5 rulemaking must be made available to a person upon request.
- 6 d. This section may not be construed as requiring a separate hearing on each
7 proposed rule. Proposed rules may be grouped for the convenience of the
8 commission at hearings required by this section.
- 9 10. Following the public hearing, the commission shall consider all written and oral
10 comments timely received.
- 11 11. The commission shall, by majority vote of all delegates, take final action on the
12 proposed rule and shall determine the effective date of the rule, if adopted, based on
13 the rulemaking record and the full text of the rule.
- 14 a. If adopted, the rule must be posted on the commission's website.
- 15 b. The commission may adopt changes to the proposed rule provided the changes
16 do not enlarge the original purpose of the proposed rule.
- 17 c. The commission shall provide on its website an explanation of the reasons for
18 substantive changes made to the proposed rule and reasons for substantive
19 changes not made that were recommended by commenters.
- 20 d. The commission shall determine a reasonable effective date for the rule. Except
21 for an emergency as provided in subsection 12, the effective date of the rule must
22 be no sooner than thirty days after the commission issued the notice that it
23 adopted the rule.
- 24 12. Upon determination that an emergency exists, the commission may consider and
25 adopt an emergency rule with twenty-four hours prior notice, without the opportunity
26 for comment, or hearing, provided that the usual rulemaking procedures provided in
27 this compact and in this section are retroactively applied to the rule as soon as
28 reasonably possible, in no event later than ninety days after the effective date of the
29 rule. For purposes of this subsection, an emergency rule is one that must be adopted
30 immediately by the commission in order to:
- 31 a. Meet an imminent threat to public health, safety, or welfare;

- 1 b. Prevent a loss of commission or participating state funds;
2 c. Meet a deadline for the promulgation of a commission rule that is established by
3 federal law or rule; or
4 d. Protect public health and safety.
- 5 13. The commission or an authorized committee of the commission may direct revisions to
6 a previously adopted commission rule for purposes of correcting typographical errors,
7 errors in format, errors in consistency, or grammatical errors. Public notice of any
8 revisions must be posted on the website of the commission. The revision must be
9 subject to challenge by any person for a period of thirty days after posting. The
10 revision may be challenged only on grounds the revision results in a material change
11 to a rule. A challenge must be made as set forth in the notice of revisions and
12 delivered to the commission prior to the end of the notice period. If no challenge is
13 made, the revision will take effect without further action. If the revision is challenged,
14 the revision may not take effect without the approval of the commission.
- 15 14. No participating state's rulemaking requirements apply under this compact.

16 **43-17.5-10. Oversight, dispute resolution, and enforcement.**

17 1. Oversight.

- 18 a. The executive and judicial branches of state government in each participating
19 state shall enforce this compact and take all actions necessary and appropriate
20 to implement the compact.
- 21 b. Venue is proper and judicial proceedings by or against the commission must be
22 brought solely and exclusively in a court of competent jurisdiction where the
23 principal office of the commission is located. The commission may waive venue
24 and jurisdictional defenses to the extent it adopts or consents to participate in
25 alternative dispute resolution proceedings. This compact does not affect or limit
26 the selection or propriety of venue in any action against a licensee for
27 professional malpractice, misconduct, or any such similar matter.
- 28 c. The commission is entitled to receive service of process in any proceeding
29 regarding the enforcement or interpretation of the compact or the commission's
30 rules and has standing to intervene in such a proceeding for all purposes. Failure

1 to provide the commission with service of process renders a judgment or order in
2 such proceeding void as to the commission, this compact, or commission rules.

3 2. Default, technical assistance, and termination.

4 a. If the commission determines that a participating state has defaulted in the
5 performance of its obligations or responsibilities under this compact or the
6 commission rules, the commission shall provide written notice to the defaulting
7 state and other participating states. The notice must describe the default, the
8 proposed means of curing the default, any other action the commission may take,
9 and offer remedial training and specific technical assistance regarding the
10 default.

11 b. If a state in default fails to cure the default, the defaulting state may be
12 terminated from this compact upon an affirmative vote of a majority of the
13 delegates of the participating states, and all rights, privileges and benefits
14 conferred by this compact upon such state may be terminated on the effective
15 date of termination. A cure of the default does not relieve the offending state of
16 obligations or liabilities incurred during the period of default.

17 c. Termination of participation in this compact must be imposed only after all other
18 means of securing compliance have been exhausted. The commission shall
19 provide notice of intent to suspend or terminate to the governor, the majority and
20 minority leaders of the defaulting state's legislature, and to the licensing board of
21 each of the participating states.

22 d. A state that has been terminated is responsible for all assessments, obligations,
23 and liabilities incurred through the effective date of termination, including
24 obligations that extend beyond the effective date of termination.

25 e. The commission shall not bear any costs related to a state that is found to be in
26 default or that has been terminated from this compact, unless agreed upon in
27 writing between the commission and the defaulting state.

28 f. The defaulting state may appeal its termination from the compact by the
29 commission by petitioning the United States district court for the District of
30 Columbia or the federal district where the commission has its principal offices.

1 The prevailing member must be awarded all costs of such litigation, including
2 reasonable attorney's fees.

3 g. Upon the termination of a state's participation in the compact, the state shall
4 immediately provide notice to all licensees within that state of such termination:

5 (1) Licensees who have been granted a compact privilege in that state shall
6 retain the compact privilege for one hundred eighty days following the
7 effective date of such termination.

8 (2) Licensees who are licensed in that state who have been granted a compact
9 privilege in a participating state shall retain the compact privilege for one
10 hundred eighty days unless the licensee also has a qualifying license in a
11 participating state or obtains a qualifying license in a participating state
12 before the one hundred eighty-day period ends, in which case the compact
13 privilege continues.

14 3. Dispute resolution.

15 a. Upon request by a participating state, the commission shall attempt to resolve
16 disputes related to this compact that arise among participating states and
17 between participating and nonparticipating states.

18 b. The commission shall promulgate a rule providing for both mediation and binding
19 dispute resolution for disputes as appropriate.

20 4. Enforcement.

21 a. The commission, in the reasonable exercise of its discretion, shall enforce the
22 provisions of this compact and rules of the commission.

23 b. If compliance is not secured after all means to secure compliance have been
24 exhausted, by majority vote, the commission may initiate legal action in the
25 United States district court for the District of Columbia or the federal district
26 where the commission has its principal offices, against a participating state in
27 default to enforce compliance with the provisions of this compact and the
28 commission's promulgated rules and bylaws. The relief sought may include both
29 injunctive relief and damages. In the event judicial enforcement is necessary, the
30 prevailing party must be awarded all costs of such litigation, including reasonable
31 attorney's fees.

1 c. The remedies in this subsection are not the exclusive remedies of the
2 commission. The commission may pursue any other remedies available under
3 federal or state law.

4 5. Legal action against the commission.

5 a. A participating state may initiate legal action against the commission in the United
6 States district court for the District of Columbia or the federal district where the
7 commission has its principal offices to enforce compliance with the provisions of
8 the compact and its rules. The relief sought may include both injunctive relief and
9 damages. In the event judicial enforcement is necessary, the prevailing party
10 must be awarded all costs of such litigation, including reasonable attorney's fees.

11 b. Any person other than a participating state may not enforce this compact against
12 the commission.

13 **43-17.5-11. Date of implementation of the physician assistant licensure compact**
14 **commission.**

15 1. This compact becomes effective on the date on which this compact statute is enacted
16 into law in the seventh participating state.

17 a. On or after the effective date of the compact, the commission shall convene and
18 review the enactment of each of the states that enacted the compact before the
19 commission convening "charter participating states" to determine if the statute
20 enacted by each such charter participating state is materially different than the
21 model compact.

22 (1) A charter participating state whose enactment is found to be materially
23 different from the model compact is entitled to the default process under
24 section 43-17.5-10.

25 (2) If any participating state later withdraws from the compact or its participation
26 is terminated, the commission shall remain in existence and the compact
27 must remain in effect even if the number of participating states should be
28 less than seven. Participating states enacting the compact subsequent to
29 the commission convening are subject to the process under subsection 3 of
30 section 43-17.5-07 to determine if their enactments are materially different

- 1 from the model compact and whether they qualify for participation in the
2 compact.
- 3 b. Participating states enacting the compact subsequent to the seven initial charter
4 participating states are subject to the process under subsection 3 of section
5 43-17.5-07 to determine if their enactments are materially different from the
6 model compact and whether they qualify for participation in the compact.
- 7 c. All actions taken for the benefit of the commission or in furtherance of the
8 purposes of the administration of the compact before the effective date of the
9 compact or the commission coming into existence are considered actions of the
10 commission unless specifically repudiated by the commission.
- 11 2. Any state that joins this compact is subject to the commission's rules and bylaws as
12 they exist on the date on which this compact becomes law in that state. Any rule that
13 has been previously adopted by the commission has the full force and effect of law on
14 the day this compact becomes law in that state.
- 15 3. Any participating state may withdraw from this compact by enacting a statute repealing
16 the same.
- 17 a. A participating state's withdrawal does not take effect until one hundred eighty
18 days after enactment of the repealing statute. During this one hundred eighty
19 day-period, all compact privileges that were in effect in the withdrawing state and
20 were granted to licensees licensed in the withdrawing state remain in effect. If
21 any licensee licensed in the withdrawing state is also licensed in another
22 participating state or obtains a license in another participating state within the one
23 hundred eighty days, the licensee's compact privileges in other participating
24 states are not affected by the passage of the one hundred eighty days.
- 25 b. Withdrawal does not affect the continuing requirement of the state licensing
26 board of the withdrawing state to comply with the investigative, and adverse
27 action reporting requirements of this compact prior to the effective date of
28 withdrawal.
- 29 c. Upon the enactment of a statute withdrawing a state from this compact, the state
30 shall immediately provide notice of such withdrawal to all licensees within that
31 state. Such withdrawing state shall continue to recognize all licenses granted

1 pursuant to this compact for a minimum of one hundred eighty days after the date
2 of such notice of withdrawal.

3 4. This compact may not be construed to invalidate or prevent any physician assistant
4 licensure agreement or other cooperative arrangement between participating states
5 and between a participating state and nonparticipating state which does not conflict
6 with the provisions of this compact.

7 5. This compact may be amended by the participating states. An amendment to this
8 compact may not become effective and binding on any participating state until it is
9 enacted materially in the same manner into the laws of all participating states as
10 determined by the commission.

11 **43-17.5-12. Construction and severability.**

12 1. This compact and the commission's rulemaking authority must be liberally construed
13 so as to effectuate the purposes, and the implementation and administration of the
14 compact. Provisions of the compact expressly authorizing or requiring the
15 promulgation of rules may not be construed to limit the commission's rulemaking
16 authority solely for those purposes.

17 2. The provisions of this compact are severable and if any phrase, clause, sentence or
18 provision of this compact is held by a court of competent jurisdiction to be contrary to
19 the constitution of any participating state, a state seeking participation in the compact,
20 or of the United States, or the applicability thereof to any government, agency, person
21 or circumstance is held to be unconstitutional by a court of competent jurisdiction, the
22 validity of the remainder of this compact and the applicability thereof to any other
23 government, agency, person or circumstance are not affected thereby.

24 3. Notwithstanding this section, the commission may deny a state's participation in the
25 compact or, in accordance with the requirements of section 43-17.5-10, terminate a
26 participating state's participation in the compact, if it determines that a constitutional
27 requirement of a participating state is, or would be with respect to a state seeking to
28 participate in the compact, a material departure from the compact. Otherwise, if this
29 compact is held to be contrary to the constitution of any participating state, the
30 compact must remain in full force and effect as to the remaining participating states.

1 and in full force and effect as to the participating state affected as to all severable
2 matters.

3 **43-17.5-13. Binding effect of compact.**

4 1. This compact does not prevent the enforcement of any other law of a participating
5 state that is not inconsistent with this compact.

6 2. A law in a participating state in conflict with this compact is superseded to the extent of
7 the conflict.

8 3. All agreements between the commission and the participating states are binding in
9 accordance with the terms of the agreement.