Sixty-ninth Legislative Assembly of North Dakota

SENATE BILL NO. 2223

Introduced by

Senators Barta, Axtman, Burckhard

Representatives Bahl, Frelich

- 1 A BILL for an Act to create and enact chapter 43-44.1 of the North Dakota Century Code,
- 2 relating to adoption of the dietician licensure compact.

3 BE IT ENACTED BY THE LEGISLATIVE ASSEMBLY OF NORTH DAKOTA:

- 4 **SECTION 1.** Chapter 43-44.1 of the North Dakota Century Code is created and enacted as follows:
- 6 43-44.1-01. Dietician licensure compact.
- The dietician licensure compact is entered with all states legally joining the compact, in the
- 8 form substantially as follows:

9 ARTICLE I - PURPOSE

- 1. The purpose of this compact is to facilitate interstate practice of dietetics with the goal

 of improving public access to dietetic services. This compact preserves the regulatory

 authority of states to protect public health and safety through the current system of

 state licensure, while also providing for licensure portability through a compact

 privilege granted to qualifying professionals.
- 15 <u>2. This compact is designed to achieve the following objectives:</u>
- 16 <u>a. Increase public access to dietetic services;</u>
- 17 <u>b. Provide opportunities for interstate practice by licensed dietitians who meet</u>
 18 <u>uniform requirements;</u>
- 19 <u>c. Eliminate the necessity for licenses in multiple states;</u>
- 20 <u>d. Reduce the administrative burden on member states and licensees;</u>
- 21 <u>e. Enhance the ability of member states to protect the public's health and safety;</u>
- f. Encourage the cooperation of member states in regulating the multistate practice
 of licensed dietitians;
- 24 g. Support relocating active military members and the member's spouse;

1 Enhance the exchange of licensure, investigative, and disciplinary information 2 among member states; and 3 <u>i.</u> Vest all member states with the authority to hold a licensed dietitian accountable 4 for meeting all state practice laws in the state in which the patient is located at 5 the time care is rendered. 6 **ARTICLE II - DEFINITIONS** 7 As used in this compact, and except as otherwise provided, the following definitions apply: 8 "ACEND" means the accreditation council for education in nutrition and dietetics or its <u>1.</u> 9 successor organization. 10 "Active military member" means any individual with full-time duty status in the active 2. 11 armed forces of the United States, including members of the national guard and 12 reserve. 13 "Adverse action" means any administrative, civil, equitable, or criminal action 3. 14 permitted by a state's laws which is imposed by a licensing authority or other authority 15 against a licensee, including actions against an individual's license or compact 16 privilege such as revocation, suspension, probation, monitoring of the licensee, 17 limitation on the licensee's practice, or any other encumbrance on licensure affecting a 18 licensee's authorization to practice, including issuance of a cease and desist action. 19 <u>4.</u> "Alternative program" means a nondisciplinary monitoring or practice remediation 20 process approved by a licensing authority. 21 <u>5.</u> "CDR" means the commission on dietetic registration or its successor organization. 22 6. "Charter member state" means any member state that enacted this compact by law 23 before the effective date specified in article XII. 24 <u>7.</u> "Compact commission" means the government agency whose membership consists of all states that have enacted this compact, which is known as the dietitian licensure 25 26 compact commission, as described in article VIII, and which shall operate as an 27 instrumentality of the member states. 28 "Compact privilege" means a legal authorization, which is equivalent to a license, 8. 29 permitting the practice of dietetics in a remote state.

1 "Continuing education" means a requirement, as a condition of license renewal, to 2 provide evidence of participation in, and completion of, educational and professional 3 activities relevant to practice or area of work. "Current significant investigative information" means investigative information that: 4 10. 5 A licensing authority, after a preliminary inquiry that includes notification and an <u>a.</u> 6 opportunity for the subject licensee to respond, if required by state law, has 7 reason to believe is not groundless and, if proved true, would indicate more than 8 a minor infraction; or 9 Indicates that the subject licensee represents an immediate threat to public <u>b.</u> 10 health and safety regardless of whether the subject licensee has been notified 11 and had an opportunity to respond. 12 <u>11.</u> "Data system" means a repository of information about licensees, including continuing 13 education, examination, licensure, investigative, compact privilege, and adverse action 14 information. 15 <u>12.</u> "Encumbered license" means a license in which an adverse action restricts a 16 licensee's ability to practice dietetics. 17 <u>13.</u> "Encumbrance" means a revocation or suspension of, or any limitation on a licensee's 18 full and unrestricted practice of dietetics by a licensing authority. 19 <u>14.</u> "Executive committee" means a group of delegates elected or appointed to act on 20 behalf of, and within the powers granted to them by, this compact, and the compact 21 commission. 22 15. "Home state" means the member state that is the licensee's primary state of residence 23 or that has been designated pursuant to article XI. 24 <u>16.</u> "Investigative information" means information, records, and documents received or 25 generated by a licensing authority pursuant to an investigation. 26 <u>17.</u> "Jurisprudence requirement" means an assessment of an individual's knowledge of 27 the state laws and regulations governing the practice of dietetics in the state. 28 "License" means an authorization from a member state to either: 18. 29 Engage in the practice of dietetics, including medical nutrition therapy; or <u>a.</u>

1		b. Use the title "dietitian", "licensed dietitian", "licensed dietitian nutritionist",
2		"certified dietitian", or other title describing a substantially similar practitioner as
3		the compact commission may further define by rule.
4	<u>19.</u>	"Licensee" or "licensed dietitian" means an individual who currently holds a license
5		and who meets all of the requirements outlined in article IV.
6	<u>20.</u>	"Licensing authority" means the board or agency of a state, or equivalent, that is
7		responsible for the licensing and regulation of the practice of dietetics.
8	<u>21.</u>	"Member state" means a state that has enacted the compact.
9	<u>22.</u>	"Practice of dietetics" means the synthesis and application of dietetics as defined by
10		state law and regulations, primarily for the provision of nutrition care services,
11		including medical nutrition therapy, in person or via telehealth, to prevent, manage, or
12		treat diseases or medical conditions, and promote wellness.
13	<u>23.</u>	"Registered dietitian" means an individual who:
14		a. Has completed applicable education, experience, examination, and recertification
15		requirements approved by CDR;
16		b. Is credentialed by CDR as a registered dietitian or a registered dietitian
17		nutritionist; and
18		c. Is legally authorized to use the title registered dietitian or registered dietitian
19		nutritionist and the corresponding abbreviations "RD" or "RDN".
20	<u>24.</u>	"Remote state" means a member state other than the home state, where a licensee is
21		exercising or seeking to exercise a compact privilege.
22	<u>25.</u>	"Rule" means a regulation promulgated by the compact commission which has the
23		force of law.
24	<u>26.</u>	"Single state license" means a license issued by a member state within the issuing
25		state and does not include a compact privilege in any other member state.
26	<u>27.</u>	"State" means any state, commonwealth, district, or territory of the United States of
27		America.
28	<u>28.</u>	"Unencumbered license" means a license that authorizes a licensee to engage in the
29		full and unrestricted practice of dietetics.
30		ARTICLE III - STATE PARTICIPATION IN THE COMPACT
31	<u>1.</u>	To participate in the compact, a state shall currently:

1		<u>a.</u>	<u>Lice</u>	ense and regulate the practice of dietetics; and						
2		<u>b.</u>	<u>Hav</u>	Have a mechanism in place for receiving and investigating complaints about						
3			<u>licer</u>	licensees.						
4	<u>2.</u>	<u>A m</u>	<u>nembe</u>	er state shall:						
5		<u>a.</u>	<u>Par</u>	ticipate fully in the compact commission's data system, including using the						
6			<u>unic</u>	que identifier as defined in rules;						
7		<u>b.</u>	<u>Noti</u>	ify the compact commission, in compliance with the terms of the compact and						
8			<u>rule</u>	s, of any adverse action or the availability of current significant investigative						
9			info	rmation regarding a licensee;						
10		<u>c.</u>	<u>lmp</u>	lement or utilize procedures for considering the criminal history record						
11			info	rmation of applicants for an initial compact privilege. These procedures must						
12			<u>incl</u>	ude the submission of fingerprints or other biometric-based information by						
13			<u>app</u>	licants for the purpose of obtaining an applicant's criminal history record						
14			<u>info</u>	rmation from the federal bureau of investigation and the agency responsible						
15			for r	retaining that state's criminal records;						
16			<u>(1)</u>	A member state shall fully implement a criminal history record information						
17				requirement, within a time frame established by rule, which includes						
18				receiving the results of the federal bureau of investigation record search,						
19				and shall use those results in determining compact privilege eligibility.						
20			<u>(2)</u>	Communication between a member state and the compact commission or						
21				among member states regarding the verification of eligibility for a compact						
22				privilege may not include any information received from the federal bureau						
23				of investigation relating to a federal criminal history record information check						
24				performed by a member state.						
25		<u>d.</u>	Con	nply with and enforce the rules of the compact commission;						
26		<u>e.</u>	Rec	uire an applicant for a compact privilege to obtain or retain a license in the						
27			licer	nsee's home state and meet the home state's qualifications for licensure or						
28			rene	ewal of licensure, as well as all other applicable state laws; and						
29		<u>f.</u>	Rec	cognize a compact privilege granted to a licensee who meets all of the						
30			<u>requ</u>	uirements outlined in article IV under the terms of the compact and rules.						
31	<u>3.</u>	<u>Me</u>	mber	states may set and collect a fee for granting a compact privilege.						

Sixty-ninth Legislative Assembly

1	<u>4.</u>	Individuals not residing in a member state shall continue to be able to apply for a
2		member state's single state license as provided under the laws of each member state.
3		However, the single state license granted to these individuals may not be recognized
4		as granting a compact privilege to engage in the practice of dietetics in any other
5		member state.
6	<u>5.</u>	Nothing in this compact may affect the requirements established by a member state
7		for the issuance of a single state license.
8	<u>6.</u>	The compact commission may not define the requirements for the issuance of a single
9		state license to practice dietetics. The member states shall retain sole jurisdiction over
10		the provision of these requirements.
11		ARTICLE IV - COMPACT PRIVILEGE
12	<u>1.</u>	To exercise the compact privilege under the terms and provisions of the compact, the
13		licensee shall:
14		a. Satisfy one of the following:
15		(1) Hold a valid current registration that gives the applicant the right to use the
16		term registered dietitian; or
17		(2) Complete all of the following:
18		(a) An education program which is either:
19		[1] A master's degree or doctoral degree that is programmatically
20		accredited by ACEND or a dietetics accrediting agency
21		recognized by the United States department of education, which
22		the compact commission may by rule determine, and from a
23		college or university accredited at the time of graduation by the
24		appropriate regional accrediting agency recognized by the
25		council on higher education accreditation and the United States
26		department of education; or
27		[2] An academic degree from a college or university in a foreign
28		country equivalent to the degree described in subparagraph (a)
29		that is programmatically accredited by ACEND or a dietetics
30		accrediting agency recognized by the United States department

1				of education, which the compact commission may by rule
2				determine.
3			<u>(b)</u>	A planned, documented, supervised practice experience in dietetics
4				that is programmatically accredited by ACEND or a dietetics
5				accrediting agency recognized by the United States department of
6				education, which the compact commission may by rule determine and
7				which involves at least one thousand hours of practice experience
8				under the supervision of a registered dietitian or a licensed dietitian.
9			<u>(c)</u>	Successful completion of either the registration examination for
10				dietitians administered by CDR or a national credentialing
11				examination for dietitians approved by the compact commission by
12				rule, with completion occurring no more than five years before the
13				date of the licensee's application for initial licensure and accompanied
14				by a period of continuous licensure thereafter, all of which may be
15				further governed by the rules of the compact commission.
16		<u>b.</u>	<u>Hold an u</u>	nencumbered license in the home state;
17		<u>C.</u>	Notify the	compact commission that the licensee is seeking a compact privilege
18			within a re	emote state;
19		<u>d.</u>	Pay any a	pplicable fees, including any state fee, for the compact privilege;
20		<u>e.</u>	Meet any	jurisprudence requirements established by the remote state in which
21			the license	ee is seeking a compact privilege; and
22		<u>f.</u>	Report to	the compact commission any adverse action, encumbrance, or
23			restriction	on a license taken by any nonmember state within thirty days from the
24			date the a	ction is taken.
25	<u>2.</u>	The	compact p	rivilege is valid until the expiration date of the home state license. To
26		<u>mai</u>	<u>ntain a com</u>	pact privilege, renewal of the compact privilege must be congruent with
27		the	renewal of	the home state license as the compact commission may define by rule.
28		The	licensee sl	nall comply with the requirements of subsection 1 of article IV to
29		<u>mai</u>	ntain the co	empact privilege in the remote state.

1	<u>3.</u>	<u>A lic</u>	<u>ense</u>	e exercising a compact privilege shall adhere to the laws and regulations of
2		<u>the</u>	remo	te state. Licensees must be responsible for educating themselves on, and
3		con	plyin	g with, all state laws relating to the practice of dietetics in the remote state.
4	<u>4.</u>	<u>Not</u>	withst	anding anything to the contrary provided in this compact or state law, a
5		lice	nsee	exercising a compact privilege may not be required to complete continuing
6		<u>edu</u>	catior	requirements required by a remote state. A licensee exercising a compact
7		priv	<u>ilege</u>	only is required to meet any continuing education requirements as required
8		by t	he ho	me state.
9	AR	RTICL	E V -	OBTAINING A NEW HOME STATE LICENSEBASED ON A COMPACT
10				<u>PRIVILEGE</u>
11	<u>1.</u>	<u>A lic</u>	ense	e may hold a home state license, which allows for a compact privilege in
12		othe	er me	mber states, in only one member state at a time.
13	<u>2.</u>	<u>lf a</u>	licens	see changes home state by moving between two member states:
14		<u>a.</u>	<u>The</u>	licensee shall file an application for obtaining a new home state license
15			base	ed on a compact privilege, pay all applicable fees, and notify the current and
16			new	home state in accordance with the rules of the compact commission.
17		<u>b.</u>	<u>Upo</u>	n receipt of an application for obtaining a new home state license by virtue of
18			a co	mpact privilege, the new home state shall verify that the licensee meets the
19			<u>crite</u>	ria in article IV via the data system, and require that the licensee complete:
20			<u>(1)</u>	A federal bureau of investigation fingerprint-based criminal history record
21				information check;
22			<u>(2)</u>	Any other criminal history record information required by the new home
23				state; and
24			<u>(3)</u>	Any jurisprudence requirements of the new home state.
25		<u>C.</u>	<u>The</u>	former home state shall convert the former home state license into a
26			com	pact privilege once the new home state has activated the new home state
27			licer	nse in accordance with applicable rules adopted by the compact commission.
28		<u>d.</u>	Noty	withstanding any other provision of this compact, if the licensee cannot meet
29			the o	criteria in article IV, the new home state may apply its requirements for
30			issu	ing a new single state license.

1		<u>e.</u>	The licensee shall pay all applicable fees to the new home state to be issued a
2			new home state license.
3	<u>3.</u>	<u>lf a</u>	licensee changes the licensee's state of residence by moving from a member state
4		to a	nonmember state, or from a nonmember state to a member state, the state
5		<u>crite</u>	eria shall apply for issuance of a single state license in the new state.
6	<u>4.</u>	Not	hing in this compact may interfere with a licensee's ability to hold a single state
7		<u>licer</u>	nse in multiple states; however, for the purposes of this compact, a licensee may
8		<u>hav</u>	e only one home state license.
9	<u>5.</u>	Not	hing in this compact may affect the requirements established by a member state
10		for t	the issuance of a single state license.
11			ARTICLE VI - ACTIVE MILITARY MEMBERS
12			OR THE MEMBER'S SPOUSE
13	<u>An a</u>	active	military member, or the member's spouse, shall designate a home state where
14	the indiv	<u>idual</u>	has a current license in good standing. The individual may retain the home state
15	<u>designa</u>	tion d	luring the period the service member is on active duty.
16			ARTICLE VII - ADVERSE ACTIONS
17	<u>1.</u>	<u>ln a</u>	ddition to the other powers conferred by state law, a remote state must have the
18		<u>auth</u>	nority, under state due process law, to:
19		<u>a.</u>	Take adverse action against a licensee's compact privilege within that member
20			state; and
21		<u>b.</u>	Issue subpoenas for both hearings and investigations that require the attendance
22			and testimony of witnesses as well as the production of evidence. Subpoenas
23			issued by a licensing authority in a member state for the attendance and
24			testimony of witnesses or the production of evidence from another member state
25			must be enforced in the latter state by any court of competent jurisdiction,
26			according to the practice and procedure applicable to subpoenas issued in
27			proceedings pending before that court. The issuing authority shall pay any
28			witness fees, travel expenses, mileage, and other fees required by the service
29			statutes of the state in which the witnesses or evidence are located.
30	<u>2.</u>	<u>Onl</u>	y the home state may have the power to take adverse action against a licensee's
31		hom	ne state license.

- 3. For purposes of taking adverse action, the home state shall give the same priority and
 effect to reported conduct received from a member state as it would if the conduct had
 occurred within the home state. In so doing, the home state shall apply its own state
 laws to determine appropriate action.
 - 4. The home state shall complete any pending investigations of a licensee who changes home states during the course of the investigations. The home state must have authority to take appropriate action and shall promptly report the conclusions of the investigations to the administrator of the data system. The administrator of the data system shall promptly notify the new home state of any adverse actions.
 - 5. A member state, if otherwise permitted by state law, may recover from the affected licensee the costs of investigations and dispositions of cases resulting from any adverse action taken against that licensee.
 - 6. A member state may take adverse action based on the factual findings of another remote state, provided that the member state follows its own procedures for taking the adverse action.
 - 7. <u>Joint investigations:</u>
 - a. In addition to the authority granted to a member state by its respective state law,
 any member state may participate with other member states in joint
 investigations of licensees.
 - b. Member states shall share any investigative, litigation, or compliance materials in furtherance of any joint investigations initiated under the compact.
 - 8. If adverse action is taken by the home state against a licensee's home state license resulting in an encumbrance on the home state license, the licensee's compact privilege in all other member states must be revoked until all encumbrances have been removed from the home state license. All home state disciplinary orders that impose adverse action against a licensee must include a statement that the licensee's compact privileges are revoked in all member states during the pendency of the order.
 - 9. Once an encumbered license in the home state is restored to an unencumbered license, as certified by the home state's licensing authority, the licensee shall meet the requirements of subsection 1 of article IV and follow the administrative requirements to reapply to obtain a compact privilege in any remote state.

1	<u>10.</u>	<u>lf a</u>	member state takes adverse action, the member state promptly shall notify the							
2		<u>adn</u>	dministrator of the data system. The administrator of the data system promptly shall							
3		<u>noti</u>	notify the other member states of any adverse actions.							
4	<u>11.</u>	Not	thing in this compact may override a member state's decision that participation in							
5		an a	alternative program may be used in lieu of adverse action.							
6			ARTICLE VIII - ESTABLISHMENT OF THE DIETITIAN							
7			LICENSURE COMPACT COMMISSION							
8	<u>1.</u>	<u>The</u>	compact member states hereby create and establish a joint government agency							
9		who	ose membership consists of all member states that have enacted the compact							
10		<u>kno</u>	wn as the dietitian licensure compact commission. The compact commission is an							
11		<u>inst</u>	rumentality of the compact states acting jointly and not an instrumentality of any							
12		one	state. The compact commission shall come into existence on or after the effective							
13		date	e of the compact as set forth in article XII.							
14	<u>2.</u>	<u>Mer</u>	mbership, voting, and meetings.							
15		<u>a.</u>	Each member state must have and be limited to one delegate selected by that							
16			member state's licensing authority.							
17		<u>b.</u>	The delegate must be the primary administrator of the licensing authority or the							
18			licensing authority's designee.							
19		<u>C.</u>	The compact commission shall by rule or bylaw establish a term of office for							
20			delegates and may by rule or bylaw establish term limits.							
21		<u>d.</u>	The compact commission may recommend removal or suspension of any							
22			delegate from office.							
23		<u>e.</u>	A member state's licensing authority shall fill any vacancy of its delegate							
24			occurring on the compact commission within sixty days of the vacancy.							
25		<u>f.</u>	Each delegate must be entitled to one vote on all matters before the compact							
26			commission requiring a vote by the delegates.							
27		<u>g.</u>	Delegates shall meet and vote by the means set forth in the bylaws. The bylaws							
28			may provide for delegates to meet and vote in-person or by telecommunication,							
29			video conference, or other means of communication.							
30		<u>h.</u>	The compact commission shall meet at least once during each calendar year.							
31			Additional meetings may be held as set forth in the hylaws. The compact							

1			commission may meet in-person or by telecommunication, video conference, or
2			other means of communication.
3	<u>3.</u>	The	compact commission has the power to:
4		<u>a.</u>	Establish the fiscal year of the compact commission;
5		<u>b.</u>	Establish code of conduct and conflict of interest policies;
6		<u>C.</u>	Establish and amend rules and bylaws;
7		<u>d.</u>	Maintain the commission's financial records in accordance with the bylaws;
8		<u>e.</u>	Meet and take actions consistent with the provisions of this compact, the
9			compact commission's rules, and the bylaws;
10		<u>f.</u>	Initiate and conclude legal proceedings or actions in the name of the compact
11			commission, provided that the standing of any licensing authority to sue or be
12			sued under applicable law may not be affected;
13		<u>g.</u>	Maintain and certify records and information provided to a member state as the
14			authenticated business records of the compact commission, and designate an
15			agent to do so on the compact commission's behalf;
16		<u>h.</u>	Purchase and maintain insurance and bonds;
17		<u>i.</u>	Borrow, accept, or contract for services of personnel, including employees of a
18			member state;
19		<u>j.</u>	Conduct an annual financial review;
20		<u>k.</u>	Hire employees, elect or appoint officers, fix compensation, define duties, grant
21			those individuals appropriate authority to carry out the purposes of the compact,
22			and establish the compact commission's personnel policies and programs
23			relating to conflicts of interest, qualifications of personnel, and other related
24			personnel matters;
25		<u>l.</u>	Assess and collect fees;
26		<u>m.</u>	Accept all appropriate donations, grants of money, other sources of revenue,
27			equipment, supplies, materials, services, and gifts, and receive, utilize, and
28			dispose of the same provided that at all times the compact commission shall
29			avoid any actual or appearance of impropriety or conflict of interest;
30		<u>n.</u>	Lease, purchase, retain, own, hold, improve, or use any property, real, personal,
31			or mixed, or any undivided interest therein;

Sixty-ninth Legislative Assembly

1		<u>O.</u>	<u>Sell,</u>	, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose
2			of a	ny property real, personal, or mixed;
3		<u>p.</u>	<u>Esta</u>	ablish a budget and make expenditures;
4		<u>q.</u>	<u>Borr</u>	row money;
5		<u>r.</u>	<u> App</u>	oint committees, including standing committees, composed of members,
6			state	e regulators, state legislators or their representatives, and consumer
7			<u>repr</u>	esentatives, and other interested persons as may be designated in this
8			com	pact or the bylaws;
9		<u>s.</u>	<u>Prov</u>	vide and receive information from, and cooperate with, law enforcement
10			<u>ageı</u>	ncies;
11		<u>t.</u>	<u>Esta</u>	ablish and elect an executive committee, including a chair and a vice chair;
12		<u>u.</u>	<u>Dete</u>	ermine whether a state's adopted language is materially different from the
13			mod	lel compact language such that the state would not qualify for participation in
14			the o	compact; and
15		<u>V.</u>	<u>Perf</u>	form such other functions as may be necessary or appropriate to achieve the
16			purp	poses of this compact.
17	<u>4.</u>	<u>The</u>	exec	cutive committee.
18		<u>a.</u>	<u>The</u>	executive committee must have the power to act on behalf of the compact
19			com	mission according to the terms of this compact. The powers, duties, and
20			resp	onsibilities of the executive committee shall include:
21			<u>(1)</u>	Overseeing the day-to-day activities of the administration of the compact,
22				including enforcement and compliance with the provisions of the compact,
23				its rules and bylaws, and other duties as deemed necessary;
24			<u>(2)</u>	Recommending to the compact commission changes to the rules or bylaws,
25				changes to this compact legislation, fees charged to compact member
26				states, fees charged to licensees, and other fees;
27			<u>(3)</u>	Ensuring compact administration services are provided appropriately,
28				including by contract;
29			<u>(4)</u>	Preparing and recommending the budget;
30			(5)	Maintaining financial records on behalf of the compact commission;

Sixty-ninth Legislative Assembly

1		<u>(6)</u>	Monitoring compact compliance of member states and providing compliance
2			reports to the compact commission;
3		<u>(7)</u>	Establishing additional committees as necessary;
4		<u>(8)</u>	Exercising the powers and duties of the compact commission during the
5			interim between compact commission meetings, except for adopting or
6			amending rules, adopting or amending bylaws, and exercising any other
7			powers and duties expressly reserved to the compact commission by rule or
8			bylaw; and
9		<u>(9)</u>	Other duties as provided in the rules or bylaws of the compact commission.
10	<u>b.</u>	<u>The</u>	executive committee must be composed of nine members:
11		<u>(1)</u>	The chair and vice chair of the compact commission, which must be voting
12			members of the executive committee;
13		<u>(2)</u>	Five voting members from the current membership of the compact
14			commission, elected by the compact commission;
15		<u>(3)</u>	One ex officio, nonvoting member from a recognized professional
16			association representing dietitians; and
17		<u>(4)</u>	One ex officio, nonvoting member from a recognized national credentialing
18			organization for dietitians.
19	<u>C.</u>	<u>The</u>	compact commission may remove any member of the executive committee
20		as p	provided in the compact commission's bylaws.
21	<u>d.</u>	<u>The</u>	executive committee shall meet at least annually.
22		<u>(1)</u>	Executive committee meetings must be open to the public, except that the
23			executive committee may meet in a closed, nonpublic meeting as provided
24			in subdivision b of subsection 6.
25		<u>(2)</u>	The executive committee shall give thirty days notice of its meetings, posted
26			on the website of the compact commission and as determined to provide
27			notice to persons with an interest in the business of the compact
28			commission.
29		<u>(3)</u>	The executive committee may hold a special meeting in accordance with
30			paragraph 2 of subdivision a of subsection 6.

1	<u>5.</u>	The	The compact commission shall adopt and provide to the member states an annual					
2		<u>rep</u>	report.					
3	<u>6.</u>	Ме	eetings of the compact commission.					
4		<u>a.</u>	<u>All r</u>	neetings must be open to the public, except that the compact commission				
5			may	meet in a closed, nonpublic meeting as provided in subdivision b of				
6			sub	section 6.				
7			<u>(1)</u>	Public notice for all meetings of the full compact commission must be given				
8				in the same manner as required under the rulemaking provisions in				
9				article X, except that the compact commission may hold a special meeting				
10				as provided in paragraph 2 of subdivision a of subsection 6.				
11			<u>(2)</u>	The compact commission may hold a special meeting when it must meet to				
12				conduct emergency business by giving twenty-four hours notice to all				
13				member states, on the compact commission's website, and other means as				
14				provided in the compact commission's rules. The compact commission's				
15				legal counsel shall certify that the compact commission's need to meet				
16				qualifies as an emergency.				
17		<u>b.</u>	<u>The</u>	compact commission or the executive committee or other committees of the				
18			com	pact commission may convene in a closed, nonpublic meeting for the				
19			com	pact commission or executive committee or other committees of the compact				
20			com	nmission to receive legal advice or to discuss:				
21			<u>(1)</u>	Noncompliance of a member state with its obligations under the compact;				
22			<u>(2)</u>	The employment, compensation, discipline, or other matters, practices, or				
23				procedures related to specific employees;				
24			<u>(3)</u>	Current or threatened discipline of a licensee by the compact commission or				
25				by a member state's licensing authority;				
26			<u>(4)</u>	Current, threatened, or reasonably anticipated litigation;				
27			<u>(5)</u>	Negotiation of contracts for the purchase, lease, or sale of goods, services,				
28				or real estate;				
29			<u>(6)</u>	Accusing a person of a crime or formally censuring a person;				
30			<u>(7)</u>	Trade secrets or commercial or financial information that is privileged or				
31				confidential;				

1			<u>(8)</u>	Information of a personal nature if disclosure would constitute a clearly
2				unwarranted invasion of personal privacy;
3			<u>(9)</u>	Investigative records compiled for law enforcement purposes;
4			<u>(10)</u>	Information related to any investigative reports prepared by or on behalf of
5				or for use of the compact commission or other committee charged with
6				responsibility of investigation or determination of compliance issues
7				pursuant to the compact;
8			(11)	Matters specifically exempted from disclosure by federal or member state
9				law; or
10			<u>(12)</u>	Other matters as specified in the rules of the compact commission.
11		<u>C.</u>	<u>lf a</u>	meeting or portion of a meeting is closed, the presiding officer shall state the
12			mee	eting will be closed and reference each relevant exempting provision and the
13			<u>refe</u>	rence must be recorded in the minutes.
14		<u>d.</u>	<u>The</u>	compact commission shall keep minutes that fully and clearly describe all
15			<u>mat</u>	ters discussed in a meeting and provide a full and accurate summary of
16			<u>acti</u>	ons taken and the reasons for taking the action, including a description of the
17			<u>viev</u>	vs expressed. The documents considered in connection with an action must
18			<u>be i</u>	dentified in the minutes. The minutes and documents of a closed meeting
19			mus	st remain under seal, subject to release only by a majority vote of the compact
20			com	nmission or order of a court of competent jurisdiction.
21	<u>7.</u>	<u>Fir</u>	nancing	g of the compact commission.
22		<u>a.</u>	<u>The</u>	compact commission shall pay, or provide for the payment of, the reasonable
23			<u>exp</u>	enses of the commission's establishment, organization, and ongoing
24			<u>acti</u>	vities.
25		<u>b.</u>	<u>The</u>	compact commission may accept all appropriate revenue sources as
26			pro\	vided in subdivision m of subsection 3.
27		<u>C.</u>	<u>The</u>	compact commission may levy on and collect an annual assessment from
28			<u>eac</u>	h member state and impose fees on licensees of member states to whom it
29			grar	nts a compact privilege to cover the cost of the operations and activities of the
30			com	npact commission and its staff which must, in a total amount, be sufficient to
31			COV	er its annual budget as approved each year for which revenue is not provided

31

1 by other sources. The aggregate annual assessment amount for member states 2 must be allocated based upon a formula that the compact commission shall 3 promulgate by rule. 4 d. The compact commission may not incur an obligation before securing the funds 5 adequate to meet the obligation, nor shall the compact commission pledge the 6 credit of any of the member states, except by and with the authority of the 7 member state. 8 The compact commission shall keep accurate accounts of all receipts and <u>e.</u> 9 disbursements. The receipts and disbursements of the compact commission 10 must be subject to the financial review and accounting procedures established 11 under its bylaws. However, all receipts and disbursements of funds handled by 12 the compact commission must be subject to an annual financial review by a 13 certified or licensed public accountant and the report of the financial review must 14 be included in and become part of the annual report of the compact commission. 15 <u>8.</u> Qualified immunity, defense, and indemnification. 16 The members, officers, executive director, employees, and representatives of the <u>a.</u> 17 compact commission must be immune from suit and liability, both personally and 18 in their official capacity, for any claim for damage to or loss of property or 19 personal injury or other civil liability caused by or arising out of any actual or 20 alleged act, error, or omission that occurred, or that the individual against whom 21 the claim is made had a reasonable basis for believing occurred within the scope 22 of compact commission employment, duties, or responsibilities provided that 23 nothing in this subdivision may be construed to protect the individual from suit or 24 liability for any damage, loss, injury, or liability caused by the intentional, willful, or 25 wanton misconduct of that individual. The procurement of insurance of any type 26 by the compact commission may not compromise or limit the immunity granted 27 hereunder. 28 The compact commission shall defend any member, officer, executive director, b. 29 employee, and representative of the compact commission in any civil action

seeking to impose liability arising out of any actual or alleged act, error, or

omission that occurred within the scope of compact commission employment,

1			duties, or responsibilities, or as determined by the compact commission that the
2			individual against whom the claim is made had a reasonable basis for believing
3			occurred within the scope of compact commission employment, duties, or
4			responsibilities provided that nothing herein may be construed to prohibit that
5			individual from retaining the individual's own counsel at the individual's own
6			expense and provided further that the actual or alleged act, error, or omission did
7			not result from that individual's intentional, willful, or wanton misconduct.
8		<u>C.</u>	The compact commission shall indemnify and hold harmless any member, officer
9			executive director, employee, and representative of the compact commission for
10			the amount of any settlement or judgment obtained against that individual arising
11			out of any actual or alleged act, error, or omission that occurred within the scope
12			of compact commission employment, duties, or responsibilities, or that the
13			individual had a reasonable basis for believing occurred within the scope of
14			compact commission employment, duties, or responsibilities provided that the
15			actual or alleged act, error, or omission did not result from the intentional, willful,
16			or wanton misconduct of that individual.
17		<u>d.</u>	Nothing herein may be construed as a limitation on the liability of any licensee for
18			professional malpractice or misconduct, which must be governed solely by any
19			other applicable state laws.
20		<u>e.</u>	Nothing in this compact may be interpreted to waive or otherwise abrogate a
21			member state's state action immunity or state action affirmative defense with
22			respect to antitrust claims under the Sherman Act, Clayton Act, or any other state
23			or federal antitrust or anticompetitive law or regulation.
24		<u>f.</u>	Nothing in this compact may be construed to be a waiver of sovereign immunity
25			by the member states or by the compact commission.
26			ARTICLE IX - DATA SYSTEM
27	<u>1.</u>	The	compact commission shall provide for the development, maintenance, operation,
28		<u>and</u>	utilization of a coordinated data system.
29	<u>2.</u>	<u>The</u>	compact commission shall assign each applicant for a compact privilege a unique
30		<u>ider</u>	ntifier, as determined by the rules.

1 Notwithstanding any other provision of state law to the contrary, a member state shall 2 submit a uniform data set to the data system on all individuals to whom this compact is 3 applicable as required by the rules of the compact commission, including: 4 Identifying information; a. 5 Licensure data: <u>b.</u> 6 Adverse actions against a license or compact privilege and information related to <u>C.</u> 7 the adverse action; 8 Nonconfidential information related to alternative program participation, the <u>d.</u> 9 beginning and ending dates of the participation, and other information related to 10 the participation not made confidential under member state law; 11 Any denial of application for licensure, and the reason for the denial; <u>e.</u> 12 f. The presence of current significant investigative information; and 13 Other information that may facilitate the administration of this compact or the g. 14 protection of the public, as determined by the rules of the compact commission. 15 <u>4.</u> The records and information provided to a member state pursuant to this compact or 16 through the data system, when certified by the compact commission or an agent of the 17 commission, shall constitute the authenticated business records of the compact 18 commission, and must be entitled to any associated hearsay exception in a relevant 19 judicial, quasi-judicial, or administrative proceeding in a member state. 20 <u>5.</u> Current significant investigative information pertaining to a licensee in any member 21 state will be available only to other member states. 22 It is the responsibility of the member states to report any adverse action against a 6. 23 licensee and to monitor the data system to determine whether any adverse action has 24 been taken against a licensee. Adverse action information pertaining to a licensee in 25 any member state will be available to any other member state. 26 Member states contributing information to the data system may designate information <u>7.</u> 27 that may not be shared with the public without the express permission of the 28 contributing state. 29 Any information submitted to the data system which is subsequently expunded 8. 30 pursuant to federal law or the laws of the member state contributing the information 31 must be removed from the data system.

<u>a.</u>

1 **ARTICLE X - RULEMAKING** 2 The compact commission shall promulgate reasonable rules to effectively and <u>1.</u> 3 efficiently implement and administer the purposes and provisions of the compact. A 4 rule has no force or effect if a court of competent jurisdiction holds the rule is invalid 5 because the compact commission exercised its rulemaking authority in a manner that 6 is beyond the scope and purposes of the compact, or the powers granted under the 7 compact, or based upon another applicable standard of review. 8 The rules of the compact commission must have the force of law in each member <u>2.</u> 9 state, provided that if the rules conflict with the laws or regulations of a member state 10 that relate to the procedures, actions, and processes a licensed dietitian is permitted 11 to undertake in that state and the circumstances under which the licensed dietitian 12 may do so, as held by a court of competent jurisdiction, the rules of the compact 13 commission are ineffective in that state to the extent of the conflict. 14 <u>3.</u> The compact commission shall exercise its rulemaking powers pursuant to the criteria 15 and rules set forth and adopted under this section. Rules become binding on the day 16 following adoption or as of the date specified in the rule or amendment, whichever is 17 later. 18 <u>4.</u> If a majority of the legislatures of the member states rejects a rule or portion of a rule, 19 by enactment of a statute or resolution in the same manner used to adopt the compact 20 within four years of the date of adoption of the rule, then the rule has no further force 21 and effect in any member state. 22 Rules must be adopted at a regular or special meeting of the compact commission. 5. 23 <u>6.</u> Before adoption of a proposed rule, the compact commission shall hold a public 24 hearing and allow individuals to provide oral and written comments, data, facts, 25 opinions, and arguments. 26 Before adoption of a proposed rule by the compact commission, and at least thirty <u>7.</u> 27 days in advance of the meeting at which the compact commission will hold a public 28 hearing on the proposed rule, the compact commission shall provide a notice of 29 proposed rulemaking:

On the website of the compact commission or other publicly accessible platform;

1 To persons who have requested notice of the compact commission's notices of 2 proposed rulemaking; and 3 In such other way as the compact commission may by rule specify. <u>C.</u> 4 The notice of proposed rulemaking must include: <u>8.</u> 5 The time, date, and location of the public hearing at which the compact <u>a.</u> 6 commission will hear public comments on the proposed rule and, if different, the 7 time, date, and location of the meeting at which the compact commission will 8 consider and vote on the proposed rule; 9 If the hearing is held via telecommunication, video conference, or other means of <u>b.</u> 10 communication, the compact commission shall include the mechanism for access 11 to the hearing in the notice of proposed rulemaking; 12 The text of the proposed rule and the reason for the rule; <u>C.</u> 13 A request for comments on the proposed rule from any interested person; and d. 14 The manner in which interested persons may submit written comments. e. 15 <u>9.</u> All hearings will be recorded. A copy of the recording and all written comments and 16 documents received by the compact commission in response to the proposed rule 17 must be available to the public. 18 <u>10.</u> Nothing in this section may be construed as requiring a separate hearing on each rule. 19 Rules may be grouped for the convenience of the compact commission at hearings 20 required by this section. 21 <u>11.</u> The compact commission shall, by majority vote of all members, take final action on 22 the proposed rule based on the rulemaking record and the full text of the rule. 23 The compact commission may adopt changes to the proposed rule provided the <u>a.</u> 24 changes do not enlarge the original purpose of the proposed rule. 25 <u>b.</u> The compact commission shall provide an explanation of the reasons for 26 substantive changes made to the proposed rule as well as reasons for 27 substantive changes not made that were recommended by commenters. 28 The compact commission shall determine a reasonable effective date for the rule. C. 29 Except for an emergency as provided in subsection 12 of article X, the effective 30 date of the rule must be no sooner than thirty days after issuing the notice that 31 the commission adopted or amended the rule.

1 Upon determination that an emergency exists, the compact commission may consider 2 and adopt an emergency rule with twenty-four hours notice and with opportunity to 3 comment, provided that the usual rulemaking procedures provided in the compact and 4 in this section must be retroactively applied to the rule as soon as reasonably possible, 5 in no event later than ninety days after the effective date of the rule. For the purposes 6 of this provision, an emergency rule is one that must be adopted immediately in order 7 to: 8 Meet an imminent threat to public health, safety, or welfare; <u>a.</u> 9 Prevent a loss of compact commission or member state funds; <u>b.</u> 10 Meet a deadline for the promulgation of a rule that is established by federal law C. 11 or rule; or 12 d. Protect public health and safety. 13 The compact commission or an authorized committee of the compact commission may 13. 14 direct revision to a previously adopted rule for purposes of correcting typographical 15 errors, errors in format, errors in consistency, or grammatical errors. Public notice of 16 any revision must be posted on the website of the compact commission. The revision 17 must be subject to challenge by any person for a period of thirty days after posting. 18 The revision may be challenged only on grounds that the revision results in a material 19 change to a rule. A challenge must be made in writing and delivered to the compact 20 commission before the end of the notice period. If no challenge is made, the revision 21 will take effect without further action. If the revision is challenged, the revision may not 22 take effect without the approval of the compact commission. 23 <u>14.</u> A member state's rulemaking requirements may not apply under this compact. 24 **ARTICLE XI - OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT** 25 1. Oversight. 26 The executive and judicial branches of state government in each member state <u>a.</u> 27 shall enforce this compact and take all actions necessary and appropriate to 28 implement this compact. 29 Except as otherwise provided in this compact, venue is proper and judicial b. 30 proceedings by or against the compact commission must be brought solely and 31 exclusively in a court of competent jurisdiction where the principal office of the

- compact commission is located. The compact commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings. Nothing herein shall affect or limit the selection or propriety of venue in any action against a licensee for professional malpractice, misconduct, or any such similar matter.
 - c. The compact commission must be entitled to receive service of process in any proceeding regarding the enforcement or interpretation of the compact and shall have standing to intervene in that proceeding for all purposes. Failure to provide the compact commission service of process shall render a judgment or order void as to the compact commission, this compact, or promulgated rules.
 - 2. <u>Default, technical assistance, and termination.</u>
 - a. If the compact commission determines a member state has defaulted in the performance of its obligations or responsibilities under this compact or the promulgated rules, the compact commission shall provide written notice to the defaulting state. The notice of default shall describe the default, the proposed means of curing the default, and any other action that the compact commission may take and shall offer training and specific technical assistance regarding the default.
 - <u>b.</u> The compact commission shall provide a copy of the notice of default to the other member states.
 - 3. If a state in default fails to cure the default, the defaulting state may be terminated from the compact upon an affirmative vote of a majority of the delegates of the member states, and all rights, privileges, and benefits conferred on that state by this compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.
 - 4. Termination of membership in the compact must be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate must be given by the compact commission to the governor, the majority and minority leaders of the defaulting state's legislature, the defaulting state's licensing authority, and each of the member states licensing authority.

- 5. A state that has been terminated is responsible for all assessments, obligations, and
 liabilities incurred through the effective date of termination, including obligations that
 extend beyond the effective date of termination.
 - 6. Upon the termination of a state's membership from this compact, that state shall immediately provide notice to all licensees within that state of the termination. The terminated state shall continue to recognize all compact privileges granted pursuant to this compact for a minimum of six months after the date of the notice of termination.
 - 7. The compact commission may not bear any costs related to a state that is found to be in default or that has been terminated from the compact, unless agreed upon in writing between the compact commission and the defaulting state.
 - 8. The defaulting state may appeal the action of the compact commission by petitioning the United States district court for the District of Columbia or the federal district where the compact commission has its principal offices. The prevailing party must be awarded all costs of the litigation, including reasonable attorney's fees.
 - 9. Dispute resolution.
 - a. Upon request by a member state, the compact commission shall attempt to resolve disputes related to the compact that arise among member states and between member and nonmember states.
 - <u>b.</u> The compact commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.

<u>10.</u> Enforcement.

a. By supermajority vote, the compact commission may initiate legal action against a member state in default in the United States district court for the District of Columbia or the federal district where the compact commission has its principal offices to enforce compliance with the provisions of the compact and its promulgated rules. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party must be awarded all costs of the litigation, including reasonable attorney's fees. The remedies herein may not be the exclusive remedies of the compact commission. The compact commission may pursue any other remedies available under federal or the defaulting member state's law.

1		<u>b.</u>	A me	ember state may initiate legal action against the compact commission in the			
2			<u>Unite</u>	ed States district court for the District of Columbia or the federal district			
3			where	e the compact commission has its principal offices to enforce compliance			
4			with t	the provisions of the compact and its promulgated rules. The relief sought			
5			may i	include both injunctive relief and damages. In the event judicial enforcement			
6			is ned	cessary, the prevailing party must be awarded all costs of the litigation,			
7			includ	ding reasonable attorney's fees.			
8		<u>c.</u>	No party other than a member state may enforce this compact against the				
9			compact commission.				
10		A	ARTICLE XII - EFFECTIVE DATE, WITHDRAWAL, AND AMENDMENT				
11	<u>1.</u>	The	ne compact shall come into effect on the date on which the compact statute is				
12		enacted into law in the seventh member state.					
13		a. On or after the effective date of the compact, the compact commission shall					
14			conve	ene and review the enactment of each of the first seven member states,			
15			<u>"char</u>	ter member states", to determine if the statute enacted by each charter			
16			mem	ber state is materially different than the model compact statute.			
17			<u>(1)</u>	A charter member state whose enactment is found to be materially different			
18				from the model compact statute must be entitled to the default process set			
19				forth in article XI.			
20			<u>(2)</u>	If any member state is later found to be in default, is terminated, or			
21				withdraws from the compact, the compact commission shall remain in			
22				existence and the compact shall remain in effect even if the number of			
23				member states is less than seven.			
24		<u>b.</u>	Mem	ber states enacting the compact subsequent to the seven initial charter			
25			mem	ber states must be subject to the process set forth in subdivision u of			
26			subse	ection 3 of article VIII to determine if the member state's enactments are			
27			mate	rially different from the model compact statute and whether the member			
28			states	s qualify for participation in the compact.			
29		<u>C.</u>	All ac	ctions taken for the benefit of the compact commission or in furtherance of			
30			the p	urposes of the administration of the compact before the effective date of the			
31			comp	pact or the compact commission coming into existence must be considered			

1 to be actions of the compact commission unless specifically repudiated by the 2 compact commission. 3 <u>d.</u> Any state that joins the compact subsequent to the compact commission's initial 4 adoption of the rules and bylaws is subject to the rules and bylaws as the rules 5 and bylaws exist on the date on which the compact becomes law in that state. 6 Any rule that has been adopted previously by the compact commission must 7 have the full force and effect of law on the day the compact becomes law in that 8 state. 9 Any member state may withdraw from this compact by enacting a statute repealing the <u>2.</u> 10 compact. 11 A member state's withdrawal may not take effect until one hundred eighty days <u>a.</u> 12 after enactment of the repealing statute. 13 Withdrawal may not affect the continuing requirement of the withdrawing state's b. 14 licensing authority to comply with the investigative and adverse action reporting 15 requirements of this compact before the effective date of withdrawal. 16 Upon the enactment of a statute withdrawing from this compact, a state <u>C.</u> 17 immediately shall provide notice of the withdrawal to all licensees within that 18 state. Notwithstanding any subsequent statutory enactment to the contrary, the 19 withdrawing state shall continue to recognize all compact privileges granted 20 pursuant to this compact for a minimum of one hundred eighty days after the date 21 of the notice of withdrawal. 22 3. Nothing contained in this compact may be construed to invalidate or prevent any 23 licensure agreement or other cooperative arrangement between a member state and a 24 nonmember state that does not conflict with the provisions of this compact. 25 <u>4.</u> This compact may be amended by the member states. No amendment to this compact 26 may become effective and binding upon any member state until it is enacted into the 27 laws of all member states. 28 **ARTICLE XIII - CONSTRUCTION AND SEVERABILITY** 29 1. This compact and the compact commission's rulemaking authority must be liberally 30 construed so as to effectuate the purposes and the implementation and administration 31 of the compact. Provisions of the compact expressly authorizing or requiring the

11

12

13

14

15

16

17

18

19

20

21

22

- promulgation of rules may not be construed to limit the compact commission's
 rulemaking authority solely for those purposes.
- 2. The provisions of this compact must be severable and if any phrase, clause, sentence,
 or provision of this compact is held by a court of competent jurisdiction to be contrary
 to the constitution of any member state, a state seeking participation in the compact,
 or of the United States, or the applicability thereof to any government, agency, person,
 or circumstance is held to be unconstitutional by a court of competent jurisdiction, the
 validity of the remainder of this compact and the applicability thereof to any other
 government, agency, person, or circumstance shall not be affected thereby.
 - 3. Notwithstanding subsection 2, the compact commission may deny a state's participation in the compact or, in accordance with the requirements of subsection 2 of article XI, terminate a member state's participation in the compact, if it determines that a constitutional requirement of a member state is a material departure from the compact. Otherwise, if this compact must be held to be contrary to the constitution of any member state, the compact shall remain in full force and effect as to the remaining member states and in full force and effect as to the member state affected as to all severable matters.

ARTICLE XIV - CONSISTENT EFFECT AND CONFLICT WITH OTHER STATE LAWS

- 1. Nothing herein may prevent or inhibit the enforcement of any other law of a member state that is not inconsistent with the compact.
- Any laws, statutes, regulations, or other legal requirements in a member state in conflict with the compact are superseded to the extent of the conflict.
- 3. All permissible agreements between the compact commission and the member states
 are binding in accordance with the terms of the agreement.