Sixty-ninth Legislative Assembly of North Dakota

FIRST ENGROSSMENT

ENGROSSED SENATE BILL NO. 2070

Introduced by

Human Services Committee

(At the request of the Department of Health and Human Services)

- 1 A BILL for an Act to amend and reenact sections 50-10.2-01, 50-10.2-02, 50-10.2-02.1, and
- 2 50-10.2-05 of the North Dakota Century Code, relating to the rights of health care facility
- 3 residents.

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4 BE IT ENACTED BY THE LEGISLATIVE ASSEMBLY OF NORTH DAKOTA:

- **SECTION 1. AMENDMENT.** Section 50-10.2-01 of the North Dakota Century Code is amended and reenacted as follows:
- 7 **50-10.2-01. Definitions.**
- 8 In this chapter, unless the context or subject matter otherwise requires:
- 9 1. "Authorized electronic monitoring means the placement and use of an authorized electronic monitoring device, by a resident or resident representative, in the resident's room.
- 12 2. "Authorized electronic monitoring recording device" means video surveillance cameras. 13 monitoring devices, web-based cameras, video phones, or audio recording or-14 transmitting devices, or a combination of these devices, a device that is installed in the 15 room of a resident which are designed to acquire, transmit, broadcast, interact, oris 16 intended to record or is recording and transmitting video, communications, or other 17 sounds occurring in the room. The term does not include still cameras or devices used 18 for the purpose of the resident having contact with another person but not for the 19 purpose of electronically monitoring a resident.
 - 3. "Conflict of interest" means any type of ownership in a facility or membership on the governing body of a facility by a provider of goods or services to that facility or by a member of that individual's immediate family.

- 1 4. "Department" means the department of health and human services.
- 5. "Facility" means a skilled nursing care facility, basic care facility, assisted living facility,
 or swing-bed hospital approved to furnish long-term care services.
- 6. "Immediate family" means husband, wife, father, mother, brother, sister, son, daughter, father-in-law, mother-in-law, brother-in-law, sister-in-law, son-in-law, daughter-in-law, stepchild, uncle, aunt, niece, nephew, or grandchild.
- 7. "Remodeling" means any alteration in structure, refurbishing, or repair that would:
- 8 a. Prevent the facility staff from providing customary and required care; or
- b. Seriously endanger or inconvenience any resident with noise, dust, fumes,
 inoperative equipment, or the presence of remodeling workers.
- 11 8. "Resident" means an individual residing in a facility.
- 12 9. "Resident representative" means a person authorized to act as a resident's agent
 13 under power of attorney for health care or guardianship.
- 10. "Technology device" means a device capable of remote audio or video

 communications which is used for the purpose of the resident having contact with

 another person but not for the purpose of virtual monitoring or recording audio or video

 of a resident and the resident's room. A technology device includes a cellular mobile

 telephone used primarily for telephonic communication, an electronic tablet not used
- 20 <u>11.</u> "Virtual monitoring" means remote live action monitoring conducted without recording 21 <u>of a resident by a third party via the placement and use of a virtual monitoring device</u> 22 in the resident's room.

for virtual monitoring or recording, and an assistive communication device.

- 12. "Virtual monitoring device" means a device owned and operated by the resident or
 resident representative placed in the resident's room which allows live action
 monitoring without recording of a resident by a third party.
- 13. "Virtual visitation" means remote visitation between a resident and another individual
 using a technology device.
- SECTION 2. AMENDMENT. Section 50-10.2-02 of the North Dakota Century Code is amended and reenacted as follows:

1 50-10.2-02. Residents' rights - Implementation.

- 1. All facilities shall, upon a resident's admission, provide in hand to the resident and a member of the resident's immediate family or any existing legal guardian of the resident representative a statement of the resident's rights during the admission process and while living in the facility. Within thirty days after admission, the statement must be orally explained to the resident and, if the resident is unable to understand, to the resident's immediate family member or members and any existing legal guardian of the resident representative, and thereafter annually so long as the resident remains in the facility. The statement must include rights, responsibilities of both the resident and the facility, and the facility rules governing resident conduct. FacilitiesA facility shall treat residents a resident in accordance with provisions of the statement. The statement must include provisions ensuring each resident the following minimum rights:
 - a. The right to civil and religious liberties, including knowledge of available choices, the right to independent personal decisions without infringement, and the right to encouragement and assistance from the staff of the facility to promote the fullest possible exercise of these rights.
 - b. The right to have private meetings, associations, and communications with any person of the resident's choice within the facility.
 - c. The right to participate in the community.
 - d. The right of each resident, the resident's immediate family, any existing legal-guardian of the resident representative, friends, facility staff, and other persons to present complaints on the behalf of the resident to the facility's staff, the facility's administrator, governmental officials, or to any other person, without fear of reprisal, interference, coercion, discrimination, or restraint. The facility shall adopt a grievance process and make the process known to each resident and, if the resident is unable to understand, to the resident's immediate family member or members and any existing legal guardian of the resident. An individual making a complaint in good faith is immune from any civil liability that otherwise might result from making the complaint.

1 The right to send and receive unopened personal mail and electronic mail and d.e. 2 the right of access to and use of telephones and electronic devices for private 3 conversations. 4 e.f. The right to assured private visits, subject to restrictions to protect the health or 5 safety of the resident, by one's spouse, partner, or significant other, or if both are 6 residents of the same facility, the right to share a room, within the capacity of the 7 facility, unless sharing a room is not medically advisable as documented in the 8 medical records by the attending physician. 9 The right to manage one's own financial affairs if not under legal guardianship, or f.g. 10 to delegate that responsibility in writing to the administrator or manager of the 11 facility, but only to the extent of funds held in trust by the facility for the resident. If 12 such a trust is established, then a written quarterly accounting of any transactions-13 made on behalf of the resident must be furnished along with an explanation by 14 the facility to the resident or the person legally responsible for the resident. 15 g.h. The right to be fully informed in writing prior to or at the time of admission and 16 during one's stay, of services provided and the charges for those services, 17 including ancillary charges. Residents, or their legal guardians, must be informed-18 at least thirty days prior to any change in the costs or availability of the services. 19 No facility may demand or receive any advance payment or gratuity to assure 20 admission. 21 h.i. The right to be adequately informed of one's medical condition and proposed 22 treatment and to participate in the planning of all medical treatment, including the 23 right to refuse medication and treatment, to be discharged from the facility upon 24 written request, and to be notified by the resident's attending physician of the 25 medical consequences of any such actions. 26 The right to have privacy in treatment and in caring for personal needs, to usei.L. 27 personal belongings, to have security in storing and using personal possessions, 28 and to have confidentiality in the treatment of personal and medical records. The 29 resident has the right to view, and authorize release of, any personal or medical-30 records.

1	j. k.	The right to keep and use personal possessions, including furnishings and		
2		clothing as space permits, unless keeping or using the personal possession		
3		would infringe upon the rights, health, or safety of another resident.		
4	<u>l.</u>	The right to be treated courteously, fairly, and with the fullest measure of dignity.		
5	<u>k.m.</u>	The right to be free from mental and physical abuse, neglect, and financial		
6		exploitation, and the right to be free from physical or chemical restraint except in		
7		documented emergencies or when necessary to protect the resident from injury		
8		to self or to others. In such cases, the restraint must be authorized and		
9		documented by a physician for a limited period of time and, if the restraint is a		
0		chemical one, it must be administered by a licensed nurse or physician. Except-		
11		as provided in this subdivision, drugs or physical restraints may not be used or		
2		threatened to be used for the purposes of punishment, for the convenience of		
3		staff, for behavior conditioning, as a substitute for rehabilitation or treatment, or		
4		for any other purpose not part of an approved treatment plan.		
5	l. n.	The right not to be transferred or discharged except for:		
6		(1) Medical reasons;		
7		(2) The resident's welfare or that of other residents if the safety of an individual		
8		in the facility is endangered due to the clinical or behavioral status of the		
9		resident;		
20		(3) Nonpayment of one's rent or fees; or		
21		(4) A temporary transfer during times of remodeling; or		
22		(5) The facility ceases to operate.		
23	m. <u>o.</u>	The right to receive at least a thirty-day written advance notice of any transfer or		
24		discharge when the resident is being discharged to another facility or the		
25		resident's own home, or when the resident is being transferred or discharged		
26		because of a change in the resident's level of care; however, advance notice of		
27		transfer or discharge may be less than thirty days if the resident has urgent		
28		medical needs that require a more immediate transfer or discharge, or a more		
29		immediate transfer or discharge is required to protect the health and safety of		
30		residents and staff within the facility.		

<u>a.</u>

1	ł	Դ. ք.	The	right to refuse to perform services on behalf of the facility, unless agreed to
2			by th	ne resident or legal guardian and established in the plan of care.
3	•	э. <u>q.</u>	The	right to a claim for relief against a facility for any violation of rights
4			guai	ranteed under this chapter.
5		p. <u>r.</u>	The	right to have each facility display a notice that the following information is
6			avai	lable for public review and make the information available on request:
7			(1)	A complete copy of every inspection report, deficiency report, and plan of
8				correction the facility received during the previous twothree years.
9			(2)	The facility's grievance process.
10			(3)	A copy of the statement of ownership, board membership, and partners.
11			(4)	A statement of ownership setting forth any conflict of interest in the
12				operation of the facility.
13	•	q. s.	The	right to a pharmacist of the resident's choice irrespective of the type of
14			med	lication distribution system used by the facility, and to not be charged a fee or
15			rece	eive a financial incentive or disincentive for choosing a pharmacy other than
16			the t	facility's preferred pharmacy. The resident may not be charged for
17			repa	ackaging if that cost can be included on the facility cost report.
18		r. t.	The	right to not be discriminated against by a facility in the admissions process or
19			in th	e provision of appropriate care on the basis of the resident's source of
20			payr	ment to the facility. Any applicant for admission to a facility who is denied
21			adm	nission must be given the reason for the denial in writing upon request.
22	;	s. u.	The	right of residents and their families to organize, maintain, and participate in
23			resid	dent advisory and family councils.
24		t.<u>v.</u>	The	right of residents receiving services performed by a provider from outside the
25			facil	ity to be informed, on request, of the identity of the provider.
26	2.	If the	ere is	a change in the resident rights, laws, or regulations, the facility shall
27		pron	nptly	notify the resident, and, if the resident is unable to understand, a member of
28		the r	reside	ent's immediate family or the resident representative.
29	<u>3.</u>	<u>For</u>	<u>invol</u> ı	untary transfer and discharge actions taken by a facility, the written transfer or
30		<u>disc</u>	harge	e notice issued by the facility must include:

The reason for the transfer or discharge.

- 1 <u>b.</u> <u>The effective date of transfer or discharge.</u>
- 2 <u>c.</u> The location the resident is to be transferred or discharged to.
- d. The name, mailing and electronic mail address, and telephone number of the
 office of the state long-term care ombudsman.
 - 4. The facility shall protect the resident from retaliation. The facility shall adopt a grievance process and make the process known to each resident, the resident's immediate family member, and the resident representative. A person making a complaint in good faith is immune from any civil liability that otherwise might result from making the complaint.
 - 5. If a trust is established by the facility to hold the resident's funds, the facility shall provide to the resident, resident representative, or an agent under a power of attorney for financial decisions a written quarterly accounting of any transactions made on behalf of the resident which includes an explanation of the transactions by the facility. The facility shall pay out in full, within thirty days, the resident's personal funds deposited with the facility or refunds due to the resident upon discharge or eviction, and provide a final accounting of those funds to the resident, or in the case of death, in accordance with state law. Other refunds due to the resident upon discharge, eviction, or death must be paid out in full within thirty days from the resident's date of discharge from the facility.
 - 6. The facility shall inform a resident, resident representative, an agent under a power of attorney for financial decisions, or immediate family member, at least thirty days before any change in the costs or availability of the services. A facility may not demand or receive advance payment or gratuity to assure admission or for the resident to be placed on a waiting list for admission.
 - 7. A resident and the resident representative may view and authorize release of any personal or medical records.
- 27 8. The use of a physical or chemical restraint in an emergency or when necessary to
 28 protect the resident from injury to self or others must be authorized and documented
 29 by a physician, nurse practitioner, or physician's assistant for a limited period of time. A
 30 chemical restraint must be administered by a licensed nurse, physician, nurse
 31 practitioner, or physician's assistant. Except as provided in this subsection, a drug or

1		physical restraint may not be used or threatened to be used for the purpose of				
2		punishment, for the convenience of staff, for behavior conditioning, as a substitute for				
3		rehabilitation or treatment, or for any other purpose not included in an approved				
4		treatment plan.				
5	<u>9.</u>	Upon request, a facility shall provide an applicant for admission to a facility who is				
6		denied admission the reason for the denial in writing. The facility shall note in the				
7		written denial when the denial is based on the special characteristics or service				
8		limitations of the facility.				
9	<u>10.</u>	A facility shall ensure a resident council meeting is attended by residents only or at the				
10		invitation of a resident.				
11	<u>11.</u>	Waiver of any of the rights guaranteed by this chapter may not be made a condition of				
12		admission to a facility or ongoing residence.				
13	3. 12.	Each facility shall prepare a written plan and provide staff training to implement this				
14		chapter.				
15	4. 13.	The department shall develop and coordinate with the facility licensing and regulatory				
16		agencies a relocation plan in the event a facility is decertified or unlicensed.				
17	SEC	TION 3. AMENDMENT. Section 50-10.2-02.1 of the North Dakota Century Code is				
18	amended and reenacted as follows:					
19	50-1	0.2-02.1. Authorized electronic monitoring - Penalty.				
20	1.	A resident and the resident representative may treat the resident's living quarters as				
21		the resident's home and, subject to rules to protect the privacy and safety of another				
22		resident, may purchase and use a technology device of the resident's choice,				
23		including a technology device that may facilitate virtual monitoring or virtual visitation				
24		with family and other persons, provided operation and use of the technology device				
25		does not violate an individual's right to privacy under state or federal law.				
26		Subsections 3 through 12 apply if a technology device or a virtual monitoring device is				
27		used to record.				
28	<u>2.</u>	A resident may use a device of the resident's choice that facilitates virtual monitoring,				
29		provided:				
30		a. The purchase, activation, installation, maintenance, repair, deactivation, and				
31		removal of such device is at the expense of the resident; and				

1 The device and any images obtained from the device are used by the resident 2 and any person communicating with the resident in a manner that does not 3 violate an individual's right to privacy under state or federal law. 4 3. A facility shall permit a resident or the resident representative to conduct authorized 5 electronic monitoringrecording of the resident's room through an authorized electronic 6 monitoring recording device if: 7 The authorized electronic monitoring recording device is placed in the resident's a. 8 room; 9 The electronic monitoring recording device is placed in a fixed, stationary position; b. 10 monitors records only the area occupied by the resident and not the area 11 occupied by the resident's roommate; and protects the privacy and dignity of the 12 resident; 13 The facility is given written notice of the placement and use which must include 14 an installation plan in compliance with the facility's standards and regulations the 15 facility provides shall provide to the resident; 16 A video tape or recording created using an authorized electronic d. 17 monitoring recording device records the date and time; 18 e. All costs, except for electricity, associated with the authorized electronic 19 monitoring recording device, including installation, operation, removal, repairs, 20 room damage, and maintenance, are paid by the resident or resident 21 representative who initiated the use of the authorized electronic 22 monitoring recording device; and 23 A signed authorization for the disclosure of protected health information, as 24 defined by title 45, Code of Federal Regulations, part 160, section 103, compliant 25 with the federal Health Insurance Portability and Accountability Act of 1996 [Pub. 26 L. 104-191; 110 Stat. 1936; 29 U.S.C. 1181 et seq.] and consenting to the use of 27 the device is given by each resident occupying the same room, or by that 28 resident's resident representative in accordance with subsection 45. 29 2. A facility that uses an authorized electronic monitoring device in compliance with this 30 chapter is not guilty of a crime or civilly liable under this code for a violation of a 31 resident's privacy.

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- The facility shall cooperate to accommodate the placement of the authorized electronic monitoring recording device, unless doing so would place undue burden on the facility.
 - 4.5. Before placing and using the authorized electronic monitoring recording device or virtual monitoring device, a resident or resident representative shall obtain the signed authorization of any other resident residing in the room in accordance with subsection 43. The authorization may be signed by that resident's resident representative.
 - a. The resident's or the resident representative's authorization may limit the use of an authorized electronic monitoringrecording device to only audio monitoringrecording or only video monitoringrecording and may limit the device's time of operation, direction, and focus.
 - b. At any time, a resident or resident representative who did not request the authorized electronic monitoring recording device in the resident's room may withdraw, in writing, the signed authorization for the use of the device. The resident who requested the device or the resident's resident representative is responsible for having the device disabled in compliance with the facility's standards and regulations after receipt of the written withdrawal.
 - 5.6. The facility shall make a reasonable attempt to accommodate a resident if a resident or resident representative of a resident who is residing in a shared room wants to have an authorized electronic monitoring device placed in the room and another resident living in the same shared room refuses to authorize the use of the authorized electronic monitoring device.
 - 6.7. If authorized electronic monitoringrecording is being conducted in the room of a resident, and another resident will be moved into the room, the resident who requested the device or the resident's resident representative is responsible for having the existing authorized electronic monitoringrecording device disabled in compliance with the facility's standards and regulations unless the new resident or the resident's resident representative authorizes the device pursuant to subsections 43 and 45.
 - 7.8. A facility may not refuse to admit an individual and may not remove a resident from a facility because of authorized electronic monitoring recording of a resident's room. A

1 person may not intentionally retaliate or discriminate against a resident for 2 authorization of authorized electronic monitoring recording. 3 8.9. A facility clearly and conspicuously shall post a sign where authorized electronic 4 monitoring recording is being conducted to alert and inform visitors. 5 9.10. A facility or staff of the facility may not access any video or audio recording created 6 through an authorized electronic monitoring recording device placed in a resident's 7 room without the written consent of the resident or resident representative or court 8 order. 9 10.11. A personAn individual that intentionally hampers, obstructs, tampers with, or destroys 10 a recording or an authorized electronic monitoringrecording device or virtual 11 monitoring device placed in a resident's room, without the express written consent of 12 the resident or resident representative, is subject to a class B misdemeanor. A 13 personAn individual that places an electronic monitoring recording device in the room 14 of a resident or which uses or discloses a tape or other recording made by the device 15 may be guilty of a crime or civilly liable for any unlawful violation of the privacy rights of 16 another. In any civil proceeding, administrative proceeding, or survey process, material 17 obtained through the use of an authorized electronic monitoring recording device may 18 not be used if a personan individual intentionally hampered, obstructed, or tampered 19 with the material without the express written consent of the resident or resident 20 representative, or if the material was obtained through the operation of an electronic 21 monitoringrecording device which was not compliant with this section. 22 A person may not intercept a communication or disclose or use an intercepted 11.12. 23 communication of an authorized electronic monitoring recording device or a virtual 24 monitoring device placed in a resident's room, without the express written consent of 25 the resident or the resident representative. 26 SECTION 4. AMENDMENT. Section 50-10.2-05 of the North Dakota Century Code is 27 amended and reenacted as follows: 28 50-10.2-05. Furnishing financial information. 29 A facility may request that an applicant for admission, a resident of the facility, or the 30 applicant's or resident's legal representative furnish financial information regarding income and 31 assets, including information regarding any transfers or assignments of income or assets. A

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- 1 facility may deny admission to an applicant for admission who is unable to verify a viable
- 2 payment source.