

The following is a breakdown of how many ND domiciled registrants by filing type: (numbers are approximate as it is a large spreadsheet and a lot of filtering had to be done.) Also keep in mind that individuals can be dually registered, meaning that they can be associated with both a BD firm and an IA firm.

Broker-Dealer

- 1,160 renewed for 2025
- **4 are ND domiciled**

Registered Agents

- 124,255 renewed for 2025
- **481 are ND domiciled**

Investment Adviser Firms

- 882 renewed for 2025
- **36 are ND domiciled**

Investment Adviser Representatives

- 1,666 renewed for 2025
- **741 are ND domiciled**

