Substance Abuse and Mental Health Service Administration Strategic Prevention Framework State Incentive Grant Program Short Title (SPF-SIG) SP-06-002

Due Date: May 1, 2006

The SPF-SIG is one of SAMHSA's infrastructure grant programs.

The SPF-SIG will provide funding to:

- Prevent the onset and reduce the progression of substance abuse, including childhood and underage drinking
- Reduce substance abuse-related problems in communities, and
- Build prevention capacity in infrastructure at the State/Tribal and community levels.

Grantees will be funded for up to five years to implement the framework in partnership with community level organizations.

Grantees must allocate a minimum of 85 percent of the total grant award directly to community-level organizations or through sub-State mechanisms to community-level organizations.

Strategic Framework Process

The grantees must implement all five steps of the framework. These steps will guide all prevention activity throughout the State. The five steps are:

- □ Profile population needs, resources, and readiness to address the problems and gaps in service delivery,
- □ Mobilize and/or build capacity to address needs,
- □ Develop a comprehensive Strategic Plan,
- ☐ Implement evidence-based prevention policies, programs, and infrastructure development activities,
- ☐ Monitor process, evaluate effectiveness, sustain effective programs/activities, and improve or replace those that fail.

Strategic Framework Advisory Council Representatives

- Office of the Governor;
- A core group of drug and alcohol-related agencies identified by the State;
- □ A Demand Reduction Coordinator from the Drug Enforcement Administration who has responsibility for the State applicant (for state applicants only);
- □ The State agency identified by State applicants as the lead agency on underage drinking;
- □ A representative from SAMHSA/CSAP.

The Council should provide ongoing advice and guidance to the SPG-SIG project and is encouraged to create workgroups to monitor progress and accomplish each of the required steps of the Strategic Prevention Framework.

The Government project officer will serve as an active member of the Grantee's SPF Advisory council. They will provide guidance and technical assistance to help awardees achieve SPF-SIG goals. They will also participate on policy, steering, advisory, and other workgroups; assure that SPF-SIG projects are responsive to SAMHSA's mission and implement SAMHSA Strategic Prevention Framework; monitor and review progress; monitor development and collection of process and outcome data; ensure the SPF-SIG grantees collaboration with the epidemiological outcomes work group and approve the Awardees Strategic Plan and relevant sub-recipient funding mechanisms.

Data and Performance Measurement

The grantee must evaluate their programs regularly and to use the results to explain their successes and failures and justify their requests for funding. There are process and outcome measures. The National Outcome Measures (NOMS) will be used to assess outcomes.

Evaluation

Grantees must evaluate their projects and describe the plans for project evaluation in their applications. No more than 20 percent of the total grant award may be used for evaluation and data collection. A cross-site evaluation will be conducted by SAMHSA/CSAP and National Institute for Drug Abuse (NIDA).

Grantee Meetings

Grantees must plan to send up to three people (including the Project Director) to at least two grantee meetings in each year of the grant and must include funding for this travel in the grant budget.

Grant Award

Annual awards are expected to be up to \$2.3 million per year in total costs (direct and indirect), depending upon the size of the geographic area and population. Applicants may request a project period of up to five years. North Dakota's grant application totaled \$9,056,446.00

The SPF-SIG is intended to be implemented through a partnership between the State and community-level. Applicants at the State level may retain up to 15 percent of the total grant award for the activities to be implemented at the State level. A minimum of 85 percent of the total grant award must be allocated to community-level organizations for activities to be implemented at the community level.

Funding Mechanism

Awards will be made as Cooperative Agreements.

Cost Sharing

Cost Sharing is not required in this program and applicants will not be screened out on the basis of cost sharing.