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Sixty-first
Legislative Assembly
of North Dakota

Introduced by

(At the request of the Department of Human Services)

1 A BILL for an Act to the create and enact two new sections to chapter 50-11.1 of the North
2 Dakota Century Code, relating to self declaration of an individual who provides early childhood
3 services; to amend and reenact sections 50-11.1-002, 50-11.1-02.1, 50-11.1-03, 50-11.1-04,
4 50-11.1-06, 50-11.1-06.2, 50-11.1-07, 50-11.1-07.2, 50-11.1-07.3, 50-11.1-07.4, 50-11.1-07.5,
5 50-11.1-07.6, 50-11.1-07.8, 50-11.1-08, 50-11.1-09, 50-11.1-10, 50-11.1-11, 50-11.1-11.1,
6 50-11.1-12, and 50-11.1-13.1 of the North Dakota Century Code, relating to licensing and
7 registration of early childhood service providers, investigation of early childhood services
8 provider, denial or revocation of request for early childhood services provider licensure or
9 registration, and resource and referral services; to repeal section 50-11.1-03.1 of the Dakota
10 Century Code, relating to cardiopulmonary resuscitation certification for a family child care
11 home operator; to provide a penalty; and to provide an expiration date.

12 **BE IT ENACTED BY THE LEGISLATIVE ASSEMBLY OF NORTH DAKOTA:**

13 **SECTION 1. AMENDMENT.** Section 50-11.1-02 of the North Dakota Century Code is
14 amended and reenacted as follows:

15 **50-11.1-02. Definitions.** As used in this chapter, unless the context or subject matter
16 otherwise requires:

- 17 1. "Authorized agent" means the county social service board, unless another entity is
18 designated by the department.
- 19 2. "Child care center" means an early childhood ~~facility where~~ program licensed to
20 provide early childhood services ~~are provided~~ to nineteen or more children.
- 21 3. "County agency" means the county social service board in each of the counties of
22 the state.
- 23 4. "Department" means the department of human services.

- 1 5. "Drop-in care" means the care of children on a one-time, occasional, or
2 unscheduled basis to meet the short-term needs of families.
- 3 6. "Early childhood ~~facility program~~" means ~~any facility~~ any program licensed under
4 this chapter where early childhood services are provided, ~~whether the facility for at~~
5 least two hours a day for two or more days a week ~~is known as a child care center,~~
6 ~~day care home, day care center, day nursery, family child care home, group child~~
7 ~~care home, preschool educational facility nursery school, kindergarten, child play~~
8 ~~school, progressive school, child development center, preschool, drop-in care~~
9 ~~center, or known by any other name.~~
- 10 7. "Early childhood services" means the care, supervision, education, or guidance of
11 a child or children, ~~unaccompanied by the child's parent, guardian, or custodian,~~
12 which is provided in exchange for money, goods, or other services ~~and is, or is~~
13 ~~anticipated to be, ongoing for periods of two or more hours per day for a part of~~
14 ~~three or more days per week.~~ Early childhood services does not include:
- 15 a. Substitute parental child care provided pursuant to chapter 50-11.
- 16 b. Child care provided in any educational facility, whether public or private, in
17 grade one or above.
- 18 c. Child care provided in a kindergarten which has been established pursuant to
19 chapter 15.1-22 or a nonpublic elementary school program approved pursuant
20 to subsection 1 of section 15.1-06-06.
- 21 d. Child care, preschool, and prekindergarten services provided to ~~preschool~~
22 ~~age handicapped~~ children under six years of age in any educational facility
23 through a program approved by the superintendent of public instruction.
- 24 e. Child care provided in facilities operated in connection with a church,
25 ~~shopping center, business, or other establishment~~ organization where
26 children are cared for during periods of time not exceeding four continuous
27 hours while the child's parent, ~~guardian, or custodian~~ is attending church
28 services, ~~shopping,~~ or is engaged in other activities, on ~~or near~~ the premises.
- 29 f. Schools or classes for religious instruction conducted by religious orders
30 during the summer months for not more than two weeks, Sunday schools,
31 weekly catechism, or other classes for religious instruction.

- 1 g. Summer resident or day camps for children which serve no preschool age
2 children under six years of age for more than two weeks.
- 3 h. Sporting events, practices for sporting events, or sporting or physical activities
4 conducted under the supervision of an adult.
- 5 i. ~~Headstart~~ Head start and early head start programs that are federally funded
6 and meet federal ~~headstart~~ head start performance standards.
- 7 j. Child care provided ~~by a hospital~~ in a medical facility by medical personnel
8 ~~within the physical structure of the hospital~~ to children who are ill.
- 9 8. "Family child care ~~home~~" means ~~an occupied~~ a private residence in which licensed
10 to provide early childhood services ~~are provided~~ for no more than seven children at
11 any one time, except that the term includes a residence ~~providing~~ licensed to
12 provide early childhood services to two additional school-age children during the
13 two hours immediately before and after the ~~school day~~ school day and all day,
14 except Saturday and Sunday, when school is not in session during the official
15 school year.
- 16 9. "Group child care ~~home~~" or "~~group child care facility~~" means a child care ~~facility~~
17 ~~where~~ program licensed to provide early childhood services ~~are provided~~ for ~~eight~~
18 ~~through~~ eighteen or fewer children ~~or a facility, other than an occupied private~~
19 ~~residence, which serves fewer than eight children.~~
- 20 10. "Household member" means an adult living in the private residence out of which a
21 program is operated, regardless of whether to adult is living there permanently or
22 temporarily.
- 23 11. "In-home provider" means any person who provides early childhood services to
24 children in the children's home.
- 25 11. 12. "~~License~~ Licensed" means an early childhood programs has the rights, authority,
26 or permission granted by the department to operate a ~~family child care home,~~
27 ~~group child care facility, child care center, drop in care center, or preschool~~
28 ~~educational facility~~ and provide early childhood services.
- 29 12. 13. "Multiple licensed facility program" means an early childhood ~~facility program~~ that
30 ~~provides~~ licensed to provide more than one type of early childhood services.

- 1 ~~13.~~ 14. "Owner or operator" means the person who has legal responsibility for the early
2 childhood program and premises.
- 3 15. "Parent means an individual with the legal relationship of father or mother to a child
4 or an individual who legally stands in place of a father or a mother, including a legal
5 guardian or custodian.
- 6 16. "Premises" means the indoor and outdoor areas approved for providing early
7 childhood services.
- 8 17. "Preschool educational facility" means a facility that offers program licensed to
9 offer early childhood services and, which follows a preschool curriculum and
10 course of study designed primarily to enhance the educational development of the
11 children enrolled in the facility and that which serves no child for more than three
12 hours per day.
- 13 18. "Public approval" means a nonlicensed early childhood program operated by a
14 government entity that has self-certified that the program complies with this
15 chapter.
- 16 ~~14.~~ 19. "Registrant" means the holder of a an in-home provider registration document
17 issued by the department in accordance with this chapter.
- 18 ~~15.~~ 20. "Registration" means the process whereby the department maintains a record of all
19 in-home providers who have stated that they have complied or will comply with the
20 prescribed standards and adopted rules.
- 21 ~~16.~~ 21. "Registration document" is means a written instrument issued by the department to
22 publicly document that the registrant has complied with this chapter and the
23 applicable rules and standards as prescribed by the department.
- 24 22. "School-age child care" means a child care program licensed to provide early
25 childhood services on a regular basis for nineteen or more children aged five years
26 through eleven years.
- 27 23. "Self declaration" means voluntary documentation of an individual providing early
28 childhood services in a private residence for up to three children below the age of
29 twenty-four months or for no more that five children thorough the age of eleven.
- 30 24. "Staff member" means operator, caregiver, provider, or any other individual,
31 whether paid or volunteer, who provides care, supervision, or guidance to children

1 in an early childhood program and includes food preparation, transportation, and
2 maintenance personnel.

3 **SECTION 2. AMENDMENT.** Section 50-11.1-02.1 of the North Dakota Century Code
4 is amended and reenacted as follows:

5 **50-11.1-02.1. Number of children in program - How determined.** For the purpose of
6 determining the number of children ~~in an~~ receiving early childhood ~~facility,~~ services all children
7 ~~of the operator or employees, present in the facility~~ on the premises and under the age of
8 twelve years, must be counted ~~except for purposes of determining fire, safety, or zoning~~
9 ~~requirements.~~ All children present are protected by this chapter regardless of whether money is
10 received or goods or other services are received for their care

11 **SECTION 3. AMENDMENT.** Section 50-11.1-03 of the North Dakota Century Code is
12 amended and reenacted as follows:

13 **50-11.1-03. Operation of ~~family child care home, group child care facility,~~**
14 **~~preschool educational facility, and child care center~~ early childhood services program -**
15 **License required - Fees.**

- 16 1. A license for a family child care ~~home~~ is required if early childhood services are
17 provided for four or more children ages twenty-four months and under, ~~or six or~~
18 ~~more~~ up to seven children through age eleven at any one time which includes no
19 more than four children under twenty-four months of age. ~~Those persons not~~
20 ~~required by this subsection to hold a family child care license may voluntarily apply~~
21 ~~for and receive such a license.~~
- 22 2. ~~No~~ A license for group child care is required if early childhood services are
23 provided for ate least eight and no more than eighteen children at any one time.
- 24 3. A license for a child care center is required if early childhood services are provided
25 for more than eighteen children at any one time.
- 26 4. A person, partnership, firm, corporation, limited liability company, association, or
27 nongovernmental organization may not establish or operate a family child care,
28 group child care facility, preschool educational facility, school-age child care, or
29 child care center unless licensed to do so by the department. ~~No~~
- 30 5. A governmental organization may not establish or operate a a family child care,
31 group child care facility, preschool educational facility, school-aged child care, or

1 child care center without first receiving public approval by certifying, to the
2 department or the department's authorized agent, that it has complied with all rules
3 applicable to family child care group child care facilities, preschool ~~educational~~
4 ~~facilities~~, or school-aged child care, or to child care centers.

5 ~~3-~~ 6. An applicant for a license shall submit the following nonrefundable fees with the
6 application:

7 a. The operator of a family child care home applying for a license shall pay an
8 annual license fee of twenty dollars or if the license is issued for a two-year
9 period, a fee of thirty-five dollars.

10 b. The operator of a group child care home applying for a license shall pay an
11 annual license fee of twenty-five dollars or if the license is issued for a
12 two-year period, a fee of forty-five dollars.

13 c. The operator of a preschool ~~educational facility~~ applying for a license shall
14 pay an annual license fee of thirty dollars or if the license is issued for a
15 two-year period, a fee of fifty-five dollars.

16 d. The operator of a child care center applying for a license shall pay an annual
17 license fee of forty dollars or if the license is issued for a two-year period, a
18 fee of seventy-five dollars.

19 e. The operator of a multiple licensed ~~facility~~ program applying for a license shall
20 pay an annual license fee of fifty dollars or if the license is issued for a
21 two-year period, a fee of ninety-five dollars.

22 ~~4-~~ 7. In addition to any criminal sanctions or other civil penalties which may be imposed
23 pursuant to law, the operator of an early childhood ~~facility~~ program who, after being
24 given written notice by ~~a representative of the department or the department's~~
25 ~~authorized agent~~, continues to provide early childhood services without a license
26 as required by this section is subject to a civil penalty of ~~fifty~~ two hundred dollars
27 per day for each day of operation without ~~such~~ the required license. The civil
28 penalty may be imposed by the courts or by the department through an
29 administrative hearing pursuant to chapter 28-32.

30 ~~5-~~ 8. All fees collected under subsection 3 must be paid to the department or the
31 department's authorized agent and must be used to defray the cost, to the

1 department or the department's authorized agent, of investigating, inspecting, and
2 evaluating the applications or to provide training to providers of early childhood
3 services.

4 **SECTION 4. AMENDMENT.** Section 50-11.1-04 of the North Dakota Century Code is
5 amended and reenacted as follows:

6 **50-11.1-04. Application for license - Prerequisites for issuance - License granted -**
7 **Term. Applications**

8 1. An application for operation of an early childhood facility licenses program must be
9 made on forms provided, in the manner prescribed, by the department. The
10 department or the department's authorized agent shall investigate the applicant's
11 activities and proposed standards of care and shall make an inspection of all
12 facilities premises to be used by the early childhood program applying for a
13 license. The applicant for a license and the applicant's employees staff members,
14 and, if the license application is for an occupied a program that will be located in a
15 private residence, every person individual living or working in that residence, may
16 be investigated in accordance with the rules adopted by the department to
17 determine whether any of them has a criminal record or has had a finding of
18 services required for child abuse or neglect filed against them. The department
19 may use the findings of the investigation to determine licensure. Except as
20 otherwise provided, the department shall grant a license for the operation of an
21 early childhood facility program upon a showing that:

22 4- a. The premises to be used are in fit and sanitary condition and , are properly
23 equipped to provide for the health and safety for all children who may be
24 received, and must be maintained according to rules adopted by the
25 department;

26 2- ~~The persons in charge of the facility and their assistants~~

27 b. Staff members are qualified to fulfill the duties required of them according to
28 the provisions of this chapter and standards prescribed for their qualifications
29 by the rules and regulations of the department;

30 3- ~~The facility will be maintained according to the standards prescribed for its conduct~~
31 ~~by the rules and regulations of the department;~~

- 1 c. The application does not include any fraudulent or untrue representations;
- 2 4. d. The ~~facility~~ owner or operator or applicant has not had a previous license or
- 3 self declaration denied or revoked within the ~~one hundred eighty days~~ twelve
- 4 months prior to the date of the current application;
- 5 e. The owner or operator, or applicant has not had three or more previous
- 6 licenses or self declarations denied or revoked. The most recent revocation
- 7 or denial cannot have occurred within the five years immediately preceding
- 8 the application date.
- 9 5. f. The ~~facility~~ program has paid its license fees and any penalties assessed
- 10 against the ~~facility~~ program as required by section 50-11.1-03; and
- 11 g. The family child care owner or operator has received training and is currently
- 12 certified in cardiopulmonary resuscitation by the American heart association,
- 13 American red cross, or other similar cardiopulmonary resuscitation training
- 14 programs that are approved by the department, and is currently certified in
- 15 first aid by a program approved by the department; and
- 16 6. h. The group child care ~~or~~, preschool, school-age child care, or child care center
- 17 ~~facility~~ maintains, at all times during which early childhood services ~~is~~ are
- 18 provided, at least one person who has received training and is currently
- 19 certified in ~~rescuer~~ cardiopulmonary resuscitation by the American heart
- 20 association, American red cross, or other similar cardiopulmonary
- 21 resuscitation training programs that are approved by the department, and at
- 22 least one person who is currently certified in first aid by a program approved
- 23 by the department.
- 24 2. The license issued to the owner or operator of an early childhood ~~facility~~ must
- 25 services program may not be ~~in force and effect~~ effective for a period of not more
- 26 longer than two years.
- 27 3. The department may consider the applicant's prior licensing, self-declaration, and
- 28 registration history in determining whether to issue a license.
- 29 4. The department may issue a provisional or restricted license in accordance with
- 30 the rule of the department.

1 **SECTION 5. AMENDMENT.** Section 50-11.1-06 of the North Dakota Century Code is
2 amended and reenacted as follows:

3 **50-11.1-06. In-home provider - Registration voluntary - Prerequisite for approval -**
4 **Issuance of registration ~~certificate~~ document - Term.** ~~In-home providers~~ An in-home
5 provider may apply for a registration ~~certificate~~ document from the department. The
6 department or the department's authorized agent shall determine whether the applicant meets
7 the standards ~~have been met~~ and shall issue or deny a registration ~~certificate~~ document based
8 upon that determination. ~~Registration certificates~~ A registration document for an in-home
9 ~~providers must be in force and effect for not more~~ longer than one year. The applicant does
10 not include any fraudulent or untrue representations. The department may consider the early
11 childhood services history of the applicant in determining issuance of a registration. The
12 department may investigate an applicant according to the rules adopted by the department to
13 determine whether the applicant has a criminal record or has been the subject of a finding of
14 services required for child abuse and neglect.

15 **SECTION 6.** A new section to chapter 50-11.1 of the North Dakota Century Code is
16 created and enacted as follows:

17 **Self declaration - Approved application required - Fees.**

- 18 1. a. An application for self declaration is voluntary. An individual may apply for
19 self declaration from the department. The department or the department's
20 authorized agent shall determine whether the standards for self declaration
21 have been met and shall approve or deny a self declaration based upon that
22 determination.
- 23 b. An applicant for self declaration shall pay a nonrefundable fee to fifteen
24 dollars at the time the application is filed.
- 25 2. All fees collected under this section must be paid to the department or the
26 department's authorized agent and must be used to defray the cost of
27 investigating, inspecting, and evaluation applications for self declarations or to
28 provide training to providers of early childhood services.

29 **SECTION 7.** A new section to chapter 50-11.1 of the North Dakota Century Code is
30 created and enacted as follows:

31 **Application for self declaration - Prerequisites for approval - Approval - Term.**

- 1 1. Applications for self declarations must be made on forms provided, in the manner
2 prescribed, by the department. The department or the department's authorized
3 agent shall investigate the applicant and every individual living in the private
4 residence and shall conduct a background check. The department or the
5 department's authorized agent shall conduct the investigation in accordance with
6 the rules adopted by the department and shall determine whether any of them has
7 a criminal record or has had a finding of services required for child abuse or
8 neglect filed against them. Except as otherwise provided, the department shall
9 approve a self declaration upon the applicant's declaration that:
- 10 a. The premises to be used are in fit and sanitary condition to provide for the
11 health and safety of all children and shall be maintained according to the
12 standards prescribed by the rules of the department.
- 13 b. The applicant is able to provide for health and safety of each child receiving
14 early childhood services from the applicant according to this chapter and
15 standards prescribed by the department as set for in its rules;
- 16 c. The applicant has not had a previous license or self declaration denied or
17 revoked within the twelve months or prior to the date of the current
18 application;
- 19 d. The applicant has not had three or more previous licenses or self declarations
20 denied or revoked. The most recent revocation or denial cannot have
21 occurred within five years of the application date.
- 22 e. The applicant has paid the application fees required by section 7 of this Act;
- 23 f. The applicant has paid any penalties assessed against the program required
24 by section 50-11.1-03;
- 25 g. The applicant is currently certified in cardiopulmonary resuscitation by the
26 American heart association, the American red cross, or a similar
27 cardiopulmonary resuscitation training program approved by the department;
- 28 h. The applicant is currently certified in first aid through a training program
29 approved by the department; and
- 30 i. The application does not include any fraudulent or untrue representations.

- 1 1. Self declaration documents are in effect for one year. The department may
- 2 approved a self declaration a maximum of three times.
- 3 2. The department may consider the early childhood services history of the applicant
- 4 in determining issuance of self declaration document.

5 **SECTION 8. AMENDMENT.** Section 50-11.1-06.1 of the North Dakota Century Code
6 is amended and reenacted as follows:

7 **50-11.1-06.1. Conviction not bar to licensure, self declaration, or registration -**

8 **Exceptions.** Conviction of an offense does not disqualify ~~a person~~ an individual from
9 licensure, self declaration, or registration under this chapter unless the department determines
10 ~~that the:~~

- 11 1. The offense has a direct bearing upon a person's the individual's ability to serve
- 12 the public as the owner or proprietor operator of an early childhood facility
- 13 program, holder of a self declaration, or as an in-home provider, or that, following
- 14 2. Following conviction of any offense, the person individual is not sufficiently
- 15 rehabilitated under section 12.1-33-02.1.

16 **SECTION 9. AMENDMENT.** Section 50-11.1-06.2 of the North Dakota Century Code
17 is amended and reenacted as follows:

18 **50-11.1-06.2. ~~Carecheck registry - Child care providers - Background~~**

19 **investigations - Fees.** ~~Placement in the carecheck registry is voluntary. To apply for~~
20 ~~placement in the carecheck registry, an in-home provider, a family child care home exempt from~~
21 ~~licensure, or a licensed~~

- 22 1. Applicants for early childhood services provider licensure or self declaration or
- 23 in-home provider, as well as staff members or early childhood services programs
- 24 and household members of a residence out of which early child services are
- 25 provided, shall obtain two sets of that person's own the individual's fingerprints
- 26 from a law enforcement agency or other local agency authorized to take
- 27 fingerprints and.
- 28 2. The individual shall request the agency to submit the fingerprints and a completed
- 29 fingerprint card for each set to the division of children and family services of the
- 30 department or to any division as determined appropriate by the department
- 31 department's authorized agent.

- 1 3. If the division has no record of a determination of services required for child abuse
2 or neglect, the division shall submit the fingerprints to the bureau of criminal
3 investigation to determine if there is any criminal history record information
4 regarding the applicant ~~for carecheck, household members, or staff members~~ in
5 accordance with section 12-60-24.
- 6 4. The results of the investigations must be forwarded to the division of children and
7 family services of the department or to ~~any other division as determined~~
8 ~~appropriate by the department. The applicant for placement in the carecheck~~
9 ~~registry, after satisfying requirements imposed by the department, must be placed~~
10 ~~in the carecheck registry if no relevant criminal history record information is found~~
11 ~~and no report of a determination of services required for child abuse or neglect filed~~
12 ~~pursuant to section 50-25.1-05.2 is found which would disqualify the person~~
13 ~~department's authorized agent.~~
- 14 6. The division may charge the applicant a fee not to exceed thirty dollars for the
15 purpose of processing the application. ~~The division is not subject to the fee~~
16 ~~imposed under section 12-60-16.9 when requesting criminal history record~~
17 ~~information from the bureau of criminal investigation. The division, within one~~
18 ~~hundred eighty days after July 1, 1991, shall provide, through a toll free telephone~~
19 ~~line maintained by the department, a means to allow interested parents or~~
20 ~~guardians, employment agencies, or child care referral groups to determine if a~~
21 ~~person has met the requirements for placement in the carecheck registry. The~~
22 ~~division shall undertake a public awareness effort to explain the existence and~~
23 ~~purpose of the carecheck toll free telephone line.~~
- 24 7. An agency that takes fingerprints as provided under this section may charge a
25 reasonable fee to offset the costs of the fingerprinting.
- 26 8. The department may use background investigation findings to determine approval
27 or denial of any early childhood services license, self declaration, or in-home
28 registration.

29 **SECTION 10. AMENDMENT.** Section 50-11.1-07 of the North Dakota Century Code is
30 amended and reenacted as follows:

1 **50-11.1-07. Investigation of ~~applicants, licensees, and registrants, applicant,~~**
2 **licensee, holder of self declaration or registration document, and staff members -**
3 **Inspection of programs and premises - Maintenance of records - Confidentiality of**
4 **records.**

5 1. The department ~~and~~ or its authorized agent at any time may investigate and
6 inspect an early childhood program, or a holder of a self declaration or registration
7 document and the conditions of ~~the facility~~ their premises, the qualifications of ~~the~~
8 providers a provider of early childhood services ~~in any early childhood facility, and~~
9 ~~the qualifications of~~, current and prospective staff members, of any in-home
10 provider or applicant seeking or holding a license, self declaration, or registration
11 document under this chapter.

12 2. Upon request of the department or its authorized agent, the state department of
13 health or the state fire marshal, or the fire marshal's designee, shall inspect ~~any~~
14 facility the premises for which a license or self declaration is applied for or issued
15 and shall report the findings to the department or the department's authorized
16 agent.

17 ~~2. Licensees and registrants~~

18 3. A licensee, holder of a self declaration, or registrant shall:

19 a. Maintain ~~such~~ records as the department ~~may prescribe~~ prescribes regarding
20 each child in ~~their~~ the licensee's, holder's, or registrant's care and control, and
21 shall report to the department or the department's authorized agent, when
22 requested, ~~such~~ upon forms furnished by the department, facts ~~as the~~
23 department may require with reference to ~~the children upon forms furnished~~
24 by the department each child;

25 b. Admit for inspection ~~authorized agents of~~ the department or the department's
26 authorized agent and open for examination all records, books, and reports ~~of~~
27 ~~the home or facility;~~ and

28 c. Notify the parent, ~~guardian, or custodian~~ of each child receiving care ~~at the~~
29 facility early childhood services and ~~each employee of the facility~~ all staff
30 members of the process for reporting a complaint or a suspected licensing
31 violation.

- 1 ~~3.~~ 4. Except as provided in subsection ~~4~~ 5, all records and information maintained with
2 respect to ~~children~~ any child receiving early childhood services are confidential and
3 must be properly safeguarded and may not be disclosed except:
- 4 a. In a judicial proceeding;
- 5 b. To officers of the law or other legally constituted boards or agencies; or
- 6 c. To persons having a definite interest in the well-being of the child or children
7 concerned and who, in the judgment of the department, are in a position to
8 serve ~~their~~ the child's interests should that be necessary.
- 9 ~~4.~~ 5. A provider of early childhood services, upon the request of the parent ~~or guardian~~
10 of a child for whom the provider provides such services, shall make available to the
11 parent ~~or guardian~~ a list of the names, telephone numbers, and addresses of the
12 parents ~~or guardians~~ of children for whom early childhood services are provided.
13 The list may ~~only~~ include only the names, telephone numbers, ~~or~~ electronic mail
14 addresses, and addresses of parents ~~or guardians~~ who grant the provider
15 permission to disclose that information.
- 16 6. The following information for early childhood services licensees, self declarations,
17 in-home providers, staff members, and adults residing in a home out of which early
18 childhood services are provided is not confidential:
- 19 a. Name;
- 20 b. Address;
- 21 c. Phone number, and
- 22 d. Electronic mail address.

23 **SECTION 11. AMENDMENT.** Section 50-11.1-07.1 of the North Dakota Century Code
24 is amended and reenacted as follows:

25 **50-11.1-07.1. Notice.** After each inspection or reinspection, the department or the
26 department's authorized agent ~~shall~~, by certified mail, shall send copies of any correction order
27 or notice of noncompliance, to the early childhood ~~facility~~ program or holder of a self
28 declaration.

29 **SECTION 12. AMENDMENT.** Section 50-11.1-07.2 of the North Dakota Century Code
30 is amended and reenacted as follows:

31 **50-11.1-07.2. Correction orders.**

- 1 1. Whenever the department or the department's authorized agent finds, upon
2 inspection ~~of an early childhood facility~~, that the facility program, self declaration,
3 or premises is not in compliance with ~~the provisions of this chapter, or the rules~~
4 ~~and regulations promulgated thereunder~~ adopted under this chapter, the
5 department or the department's authorized agent shall issue a correction order
6 must be issued to the facility program or self declaration, provided the department
7 does not revoke the license or self declaration as a result of the noncompliance.
8 The correction order must cite the specific statute or ~~regulation~~ rule violated, state
9 the factual basis of the violation, state the suggested method of correction, and
10 specify the time allowed for correction. The correction order must also specify the
11 amount of any fiscal sanction to be assessed if the program or self declaration fails
12 to comply with the correction order is not complied with in a timely fashion.—~~The~~
13 ~~department shall, by rule promulgated pursuant to subsection 2 of section~~
14 ~~50-11.1-08, establish a schedule of allowable time periods for correction of~~
15 ~~deficiencies.~~
- 16 2. Within three business days of the receipt of the correction order, the licensee of the
17 early childhood ~~facility~~ program or the holder of a self-declaration shall notify the
18 parent, ~~guardian, or custodian~~ of each child receiving ~~care at the facility~~ early
19 childhood services that a correction order has been issued. In addition to providing
20 notice to the parent, ~~guardian, or custodian~~ of each child, the licensee or holder of
21 a self declaration shall post the correction order in a conspicuous location ~~within~~
22 upon the facility the early childhood premises until the violation has been corrected
23 or five days, whichever is longer.

24 **SECTION 13. AMENDMENT.** Section 50-11.1-07.3 of the North Dakota Century Code
25 is amended and reenacted as follows:

26 **50-11.1-07.3. Reinspections.** ~~As~~ The department or the department's authorized
27 agent shall reinspect an early childhood facility program or holder of a self declaration issued a
28 correction order under section 50-11.1-07.2 ~~must be reinspected,~~ at the end of the period
29 allowed for correction. If, upon reinspection, ~~it is determined~~ the department determines that
30 the ~~facility~~ program or holder of a self declaration has not corrected a violation identified in the
31 correction order, the department shall mail to the program or the holder of a self declaration, by

1 ~~certified mail~~, a notice of noncompliance with the correction order ~~must be mailed by certified~~
2 ~~mail to the facility~~. The notice must specify the violations not corrected and the penalties
3 assessed in accordance with section 50-11.1-07.5.

4 **SECTION 14. AMENDMENT.** Section 50-11.1-07.4 of the North Dakota Century Code
5 is amended and reenacted as follows:

6 **50-11.1-07.4. Fiscal sanctions.** ~~An~~ If the department or the department's authorized
7 agent issue a notice of noncompliance with a correction order to an early childhood facility, if
8 ~~issued a notice of noncompliance with a correction order, must be assessed~~ program or holder
9 of a self declaration the department shall assess fiscal sanctions in accordance with a schedule
10 of fiscal sanctions established by rules ~~promulgated pursuant to~~ adopted by the department
11 under subsection 2 of section 50-11.1-08. The department shall assess a fiscal sanction ~~must~~
12 ~~be assessed~~ for each day the ~~facility~~ early childhood program or holder of a self declaration
13 remains in noncompliance after the allowable time period for the correction of ~~deficiencies~~
14 violation ends the sanction and must continue as set forth in section 50-11.1-07.6 until a the
15 department receives notice of correction is received by the department or the department's
16 ~~authorized agent in accordance with section 50-11.1-07.6.~~ No indicating the violations are
17 corrected. The fiscal sanction for a specific violation may not exceed ~~twenty-five~~ one hundred
18 dollars per day of noncompliance.

19 **SECTION 15. AMENDMENT.** Section 50-11.1-07.5 of the North Dakota Century Code
20 is amended and reenacted as follows:

21 **50-11.1-07.5. Accumulation of fiscal sanctions.** An early childhood ~~facility~~ program
22 or holder of a self declaration shall promptly notify the department or the department's
23 authorized agent in writing when a violation noted in a notice of noncompliance is corrected.
24 Upon receipt of written notice by the department or the department's authorized agent, the daily
25 fiscal sanction assessed for the ~~deficiency~~ violation must stop accruing. The ~~facility must be~~
26 ~~reinspected~~ department or the department's authorized agent shall reinspect the early
27 childhood program or premises out of which the holder of the self declaration is operating within
28 three working days after receipt of the notification. If, upon reinspection, ~~it is determined~~ the
29 department determines that a ~~deficiency~~ violation has not been corrected, the department shall
30 resume the daily assessment of fiscal ~~sanction must resume~~ sanction and shall add the amount
31 of fiscal sanction which otherwise would have accrued during the period prior to resumption

1 ~~must be added~~ to the total assessment due from the facility program or holder. The department
2 or the department's authorized agent shall notify the facility of the resumption by certified mail.
3 Recovery of the resumed fiscal sanction must be stayed if the operator of the facility makes a
4 written request for an administrative hearing in the manner provided in chapter 28-32; provided,
5 that written request for the hearing is made to the department within ten days of the notice of
6 resumption.

7 **SECTION 16. AMENDMENT.** Section 50-11.1-07.6 of the North Dakota Century Code
8 is amended and reenacted as follows:

9 **50-11.1-07.6. Recovery of fiscal sanctions - Hearing.** Fiscal sanctions assessed
10 pursuant to this chapter are payable fifteen days after receipt of the notice of noncompliance
11 and at fifteen-day intervals thereafter, as the fiscal sanctions accrue. Recovery of an assessed
12 fiscal sanction must be stayed if the ~~operator~~ program or holder makes written request to the
13 department for an administrative hearing within ten days after the ~~facility's receipt of early~~
14 childhood program or the holder of the self declaration receives the notice. If the appeal is
15 unsuccessful or withdrawn, the daily assessment of fiscal sanctions must resume and the
16 department shall add the amount of fiscal sanctions which otherwise would have accrued
17 during the period prior to resumption to the total assessment due from the early childhood
18 program or the holder of a self declaration. The department or the department's authorized
19 agent shall notify the early childhood program or the holder of a self declaration of the
20 resumption by certified mail.

21 **SECTION 17. AMENDMENT.** Section 50-11.1-07.8 of the North Dakota Century Code
22 is amended and reenacted as follows:

23 **50-11.1-07.8. Suspension of license, self declaration, or registration document -**
24 **Notification to parent, guardian, or custodian.**

25 1. The department may suspend ~~the a~~ a license of any early childhood facility, self
26 declaration, or registration document during an investigation of a report of child
27 abuse or neglect at the ~~facility conducted pursuant to section 50-25.1-05~~ premises
28 of the licensed program, holder of the self declaration, or registration or of a staff
29 member.

30 2. Notwithstanding sections 50-11.1-07 and 50-25.1-11, the department shall notify
31 the parent, ~~guardian, or custodian~~ of any child receiving care ~~at the facility~~ early

1 childhood services when the that program's license of the facility, self declaration,
2 or registration document is suspended.

3 3. Upon the conclusion and disposition of the investigation of the facility program, the
4 department shall notify the parent, ~~guardian, or custodian~~ of the each child
5 receiving early childhood services of the disposition.

6 **SECTION 18. AMENDMENT.** Section 50-11.1-08 of the North Dakota Century Code is
7 amended and reenacted as follows:

8 **50-11.1-08. Minimum standards - Rules and regulations - Inspection by a**
9 **governmental unit.** The department may:

10 1. Establish reasonable minimum standards for the operation of early childhood
11 facilities programs, self declarations, and the registration of in-home providers. In
12 appropriate circumstances and upon good cause shown, specific minimum
13 standards may be substituted by alternate, equivalent standards, approved by the
14 department.

15 2. Take such action and make such reasonable rules and regulations for the
16 regulation of early childhood services as may be necessary to carry out the
17 purposes of this chapter and entitle the state to receive aid from the federal
18 government.

19 3. Authorize a governmental unit to:
20 a. Inspect ~~any home or facility~~ the premises for which a license, self declaration,
21 or registration document is applied ~~for~~ or issued under this chapter; and
22 b. Certify to the department that the ~~home or facility~~ premises of a program,
23 holder of self declaration or registration document meets the requirements of
24 this chapter and the minimum standards prescribed by the department.

25 **SECTION 19. AMENDMENT.** Section 50-11.1-09 of the North Dakota Century Code is
26 amended and reenacted as follows:

27 **50-11.1-09. Revocation of license, self declaration, or registration document.**

28 1. The department may revoke the license, self declaration, or registration document
29 of any early childhood ~~facility or the registration document of any in-home provider~~
30 services provider upon proper showing of any of the following:

- 1 a. Any of the applicable conditions set forth in section 50-11.1-04, 501-11.1-06,
2 and section 7 of this Act as prerequisites for the issuance of the license, self
3 declaration, or registration document no longer exist.
- 4 b. The licensee ~~or~~, holder of a self declaration, registrant is no longer in
5 compliance with the minimum standards prescribed by the department.
- 6 c. The license, or self declaration, or registration document was issued upon
7 fraudulent or untrue representation.
- 8 d. The licensee, holder of self declaration, or registrant has violated any rules of
9 the department.
- 10 e. The licensee ~~or~~, holder of a self declaration, registrant, or a household
11 member of a home out of which early childhood services are provided has
12 been found guilty of, or pled guilty to, an offense ~~determined by the~~
13 department ~~to have~~ determines has a direct bearing upon ~~a person's an~~
14 individual's ability to serve the public as a licensee, a holder of a self
15 declaration, or a registrant.
- 16 f. The licensee, holder of a self declaration, or registration has been convicted
17 of any offense and the department, acting pursuant to section 12.1-33-02.1,
18 has determined that the ~~licensee~~ individual has not been sufficiently
19 rehabilitated.
- 20 g. The department may consider the early childhood services history of the
21 licensee, holder of a self declaration, or registrant in determining revocation of
22 a license, self declaration or in-home registration document.
- 23 2. The department shall notify, in writing, the parent, ~~guardian, or custodian~~ of each
24 child receiving ~~care in~~ early childhood services provider that is the subject the
25 facility of the ~~issuance of a~~ revocation notice.

26 **SECTION 20. AMENDMENT.** Section 50-11.1-10 of the North Dakota Century Code is
27 amended and reenacted as follows:

28 **50-11.1-10. Denial or revocation of license, self declaration, or registration**
29 **certificate document - Administrative hearing.** Before the department may deny any
30 application for a license, self declaration, or registration certificate document under ~~the~~
31 ~~provisions of this chapter may be denied or before revocation of~~ the department may revoke

1 any license, self declaration or registration ~~certificate may take place, written charges as to~~
2 document, the department shall provide a written notice to the applicant, licensee, or holder of
3 the self declaration or registration document of the reasons therefor must be served for the
4 denial or revocation upon the applicant, licensee, or registrant. The applicant, licensee, holder
5 of a self declaration, or registrant has the right to may request an administrative hearing
6 appealing the denial or revocation in the manner provided in chapter 28-32 ~~if written.~~ The
7 applicant, licensee, holder of a self declaration, or registrant shall make a request for the
8 hearing is made to the department within ten days after service receipt of the written charges
9 notice of denial or revocation from the department.

10 **SECTION 21. AMENDMENT.** Section 50-11.1-11 of the North Dakota Century Code is
11 amended and reenacted as follows:

12 **50-11.1-11. Public agency purchase of early childhood services.** No agency of
13 state or local government may purchase early childhood services, including care provided by or
14 in the home of a relative, unless the early childhood ~~facility or early childhood services~~
15 ~~attendant program~~ program is licensed, registered, or approved by the department.

16 **SECTION 22. AMENDMENT.** Section 50-11.1-11.1 of the North Dakota Century Code
17 is amended and reenacted as follows:

18 **50-11.1-11.1. Resource and referral ~~program~~ services - Authority of department**
19 **to make grants - Federal funds - ~~Program components~~ Components.**

- 20 1. The department may make grants to public and private nonprofit entities for the
21 planning, establishment, expansion, improvement, or operation of early childhood
22 services. Public or private entities may apply to the department for funding.
23 Applicants shall apply for such grants on forms provided by the department.
24 Applications for grants using funds received by the state under subsection 2 must
25 include assurances that federal requirements have been met.
- 26 2. The department shall submit an application annually to the United States secretary
27 of health and human services for the purpose of obtaining the state's allotment of
28 funds authorized under chapter 8 of title VI of the Omnibus Budget Reconciliation
29 Act of 1981 [42 U.S.C. 9871-9877] or under any subsequent federal law providing
30 funding for child care and development programs.

- 1 3. Each ~~program must~~ entity providing early childhood resources and referral services
2 shall identify all existing related early childhood services through information
3 provided by all relevant public and private entities in the areas of service and must
4 develop a resource file of ~~the~~ these services which must be maintained and
5 updated at least quarterly. The services must include early childhood services ~~and~~
6 ~~service providers as defined~~ as identified in section 50-11.1-02.
- 7 4. Each ~~program~~ entity providing early childhood resource and referral services ~~must~~
8 shall establish a referral process that responds to parental needs for information,
9 fully ensures the confidentiality of records and information as required under
10 subsection ~~3~~ 4 of section 50-11.1-07, affords parents maximum access to all
11 referral information, and includes telephone referral available for no less than
12 twenty hours per week and access via the internet. Each ~~program~~ entity shall
13 publicize its services through popular media sources, agencies, employers, and
14 other appropriate methods.
- 15 5. All early childhood services resource and referral ~~programs must~~ entities shall
16 maintain documentation of the number of calls and contacts ~~to the program. A~~
17 ~~program~~ received and may collect and maintain the following information:
18 a. Ages of children served.
19 b. Time category of child care request for each child.
20 c. Special time category, such as nights, weekends, or swing shift.
21 d. The reason ~~that~~ the child care is needed.
- 22 6. Each ~~program must~~ early childhood services resources and referral entity shall
23 have available, as an educational aid to parents, information on available parent,
24 early childhood, and family education programs in the community and information
25 on aspects of evaluating the quality and suitability of early childhood services,
26 including licensing regulation, financial assistance availability, child abuse reporting
27 procedures, and appropriate child development information.
- 28 7. A ~~program may~~ child care resource and referral entity shall provide technical
29 assistance to existing and potential providers of all types of early childhood
30 services and to employers. This assistance must include:

- 1 a. Information on all aspects of initiating new early childhood services including
- 2 licensing, zoning, program and budget development, and assistance in finding
- 3 information from other sources-;
- 4 b. Information and resources which help existing early childhood service
- 5 providers to maximize their ability to serve the children and parents of their
- 6 community-;
- 7 c. Dissemination of information on current public issues affecting the local and
- 8 statewide delivery of early childhood services-;
- 9 d. Facilitation of communication between existing early childhood service
- 10 providers and child-related services in the community served-;
- 11 e. Recruitment of licensed providers- and
- 12 f. Options, and the benefits available to employers utilizing the various options,
- 13 to expand child care services to employees.
- 14 8. Services prescribed by this section must be designed to maximize parental choice
- 15 in the selection of early childhood services and to facilitate the maintenance and
- 16 development of such services and resources.

17 **SECTION 23. AMENDMENT.** Section 50-11.1-12 of the North Dakota Century Code is
18 amended and reenacted as follows:

19 **50-11.1-12. Violation of chapter or ~~regulations~~ rules - Injunction.** The department
20 may seek injunctive action against an early childhood ~~facility program~~, or holder of a self
21 declaration or in-home registration document in the district court through proceedings instituted
22 by the attorney general on behalf of the department if:

- 23 1. There is a violation of this chapter or a rule adopted ~~thereunder~~ under this chapter;
- 24 or
- 25 2. An early childhood ~~facility program~~ or holder of a self declaration or in-home
26 registration document, after notice and opportunity for hearing on the notice of
27 noncompliance, or on the resumption of the fiscal sanction, or after administrative
28 hearing confirming and upholding the fiscal sanction does not pay a properly
29 assessed fiscal sanction in accordance with section 50-11.1-07.6.

30 **SECTION 24. AMENDMENT.** Section 50-11.1-13.1 of the North Dakota Century Code
31 is amended and reenacted as follows:

- 1 **50-11.1-13.1. Penalty for provision of services - When applicable.** ~~A person~~ An
2 individual who provides early childhood services to any child, other than a child who is a
3 member of that ~~person's~~ individual's household, is guilty of a class B misdemeanor if:
- 4 1. Those services are provided after that ~~person~~ individual is required to register
5 ~~under section 12.1-32-15~~ as a sex offender;
 - 6 2. The department has denied that ~~person's~~ individual's application for licensure or
7 registration to provide early childhood services or has revoked that ~~person's~~
8 individual's license, self declaration or ~~certificate of registration~~ document to
9 provide early childhood services following a finding that services are required
10 under chapter 50-25.1 and that finding has become final or has not been contested
11 by that ~~person~~ individual; or
 - 12 3. The ~~person~~ individual allows another ~~person~~ individual to be in the presence of ~~the~~
13 child receiving early childhood the services if that other ~~person~~ individual is
14 required to register ~~under section 12.1-32-15~~ as a sex offender or has had an
15 application for licensure, self declaration, or registration to provide early childhood
16 ~~service~~ services denied or revoked by the department following a finding that
17 services are required under chapter 50-25.1 and that finding has become final or
18 has not been contested by that other ~~person~~ individual.

19 **SECTION 25. REPEAL.** Section 50-11.1-03.1 of the North Dakota Century Code is
20 repealed.

21 **SECTION 26. EXPIRATION DATE.** The increase in the penalty identified in
22 subsection 7 of section 3 of this Act is effective through July 31, 2011, and after that date is
23 ineffective.