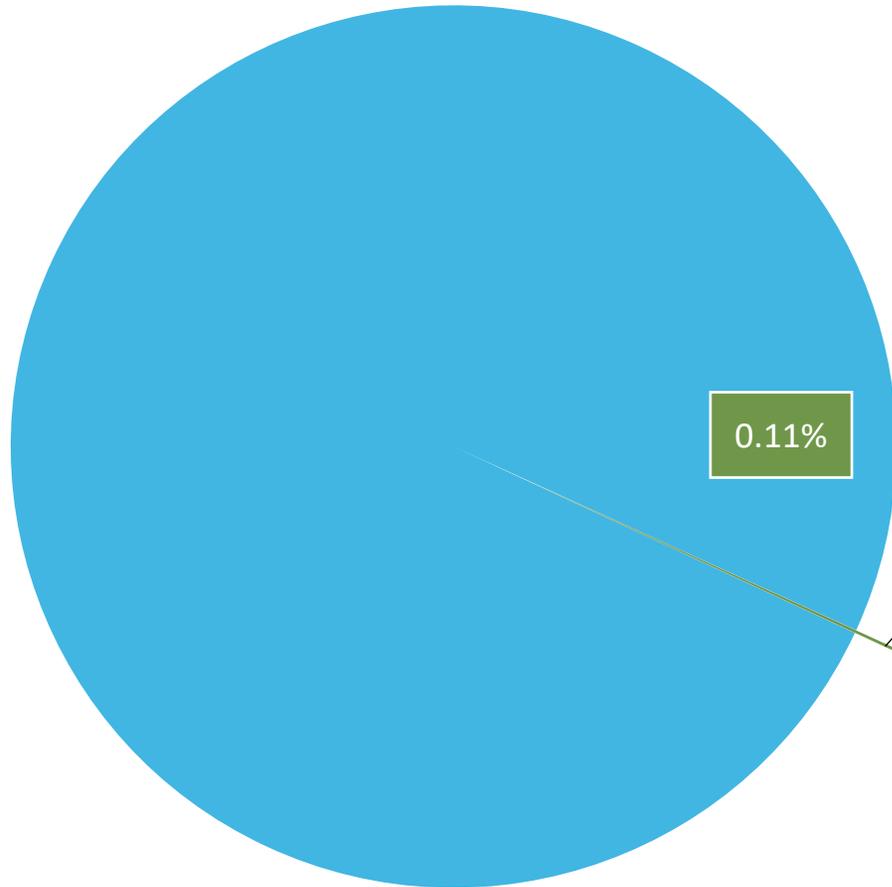


# SEC Registered Investment Advisers



Total SEC Registered  
Investment Advisers in US: **14,806**

Investment Adviser Firms on  
State Blacklists: **17**

# Firms Blacklisted by TX, WV, KY

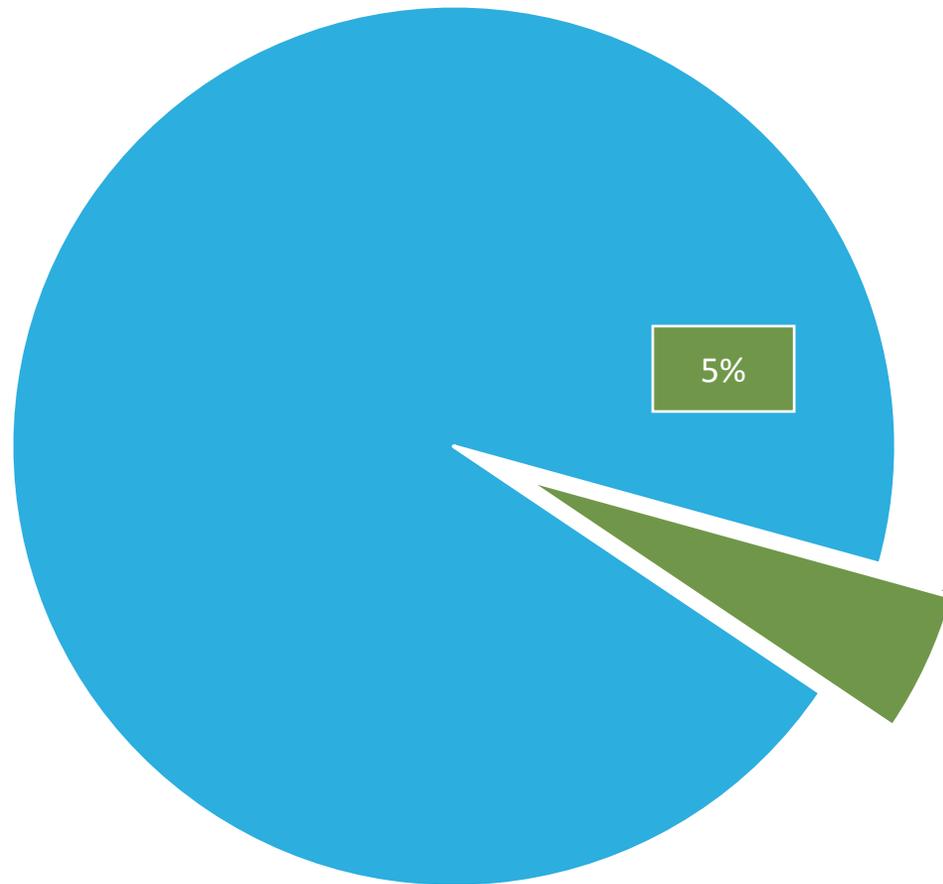
## US Domiciled

- BlackRock, Inc.
- Goldman Sachs
- Citigroup
- Climate First Bank
- JP Morgan Chase
- Morgan Stanley
- Wells Fargo

## Foreign Domiciled

- BNP Paribas
- Danske Bank
- HSBC
- Nordea Bank
- Schroders
- Svenska Handelsbanken
- Swedbank
- Credit Suisse Group AG
- Jupiter Fund Management PLC
- UBS Group AG

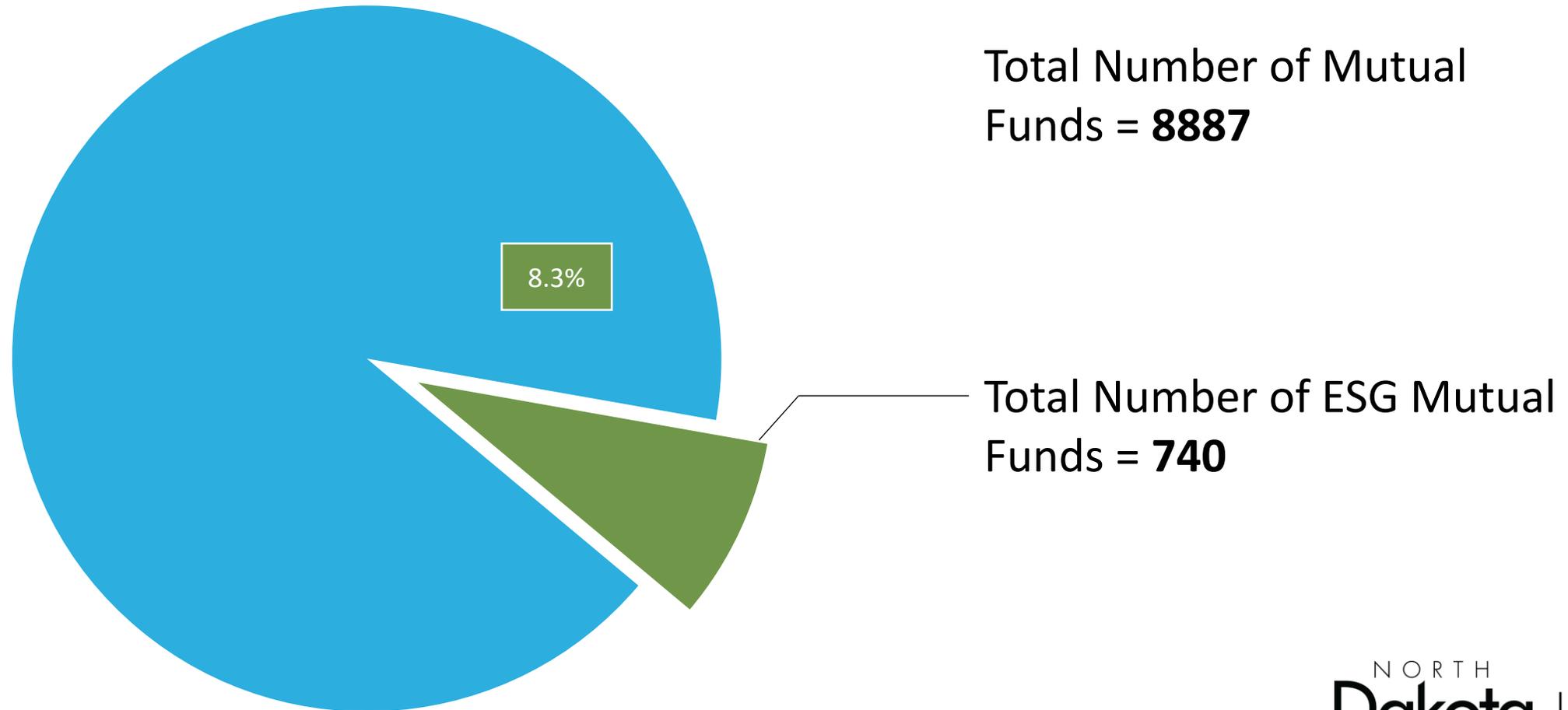
# US Investment Adviser Assets Under Management



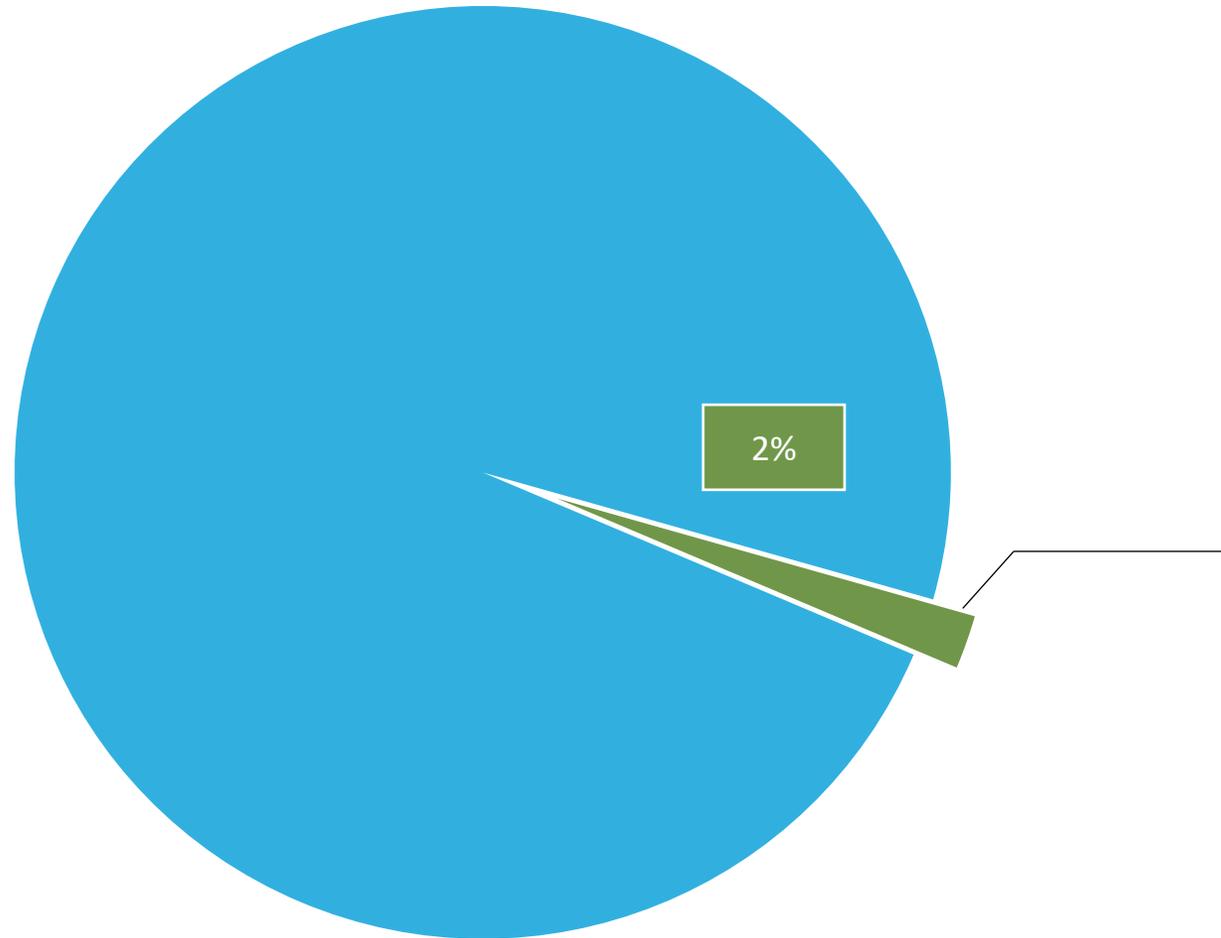
Total Assets Under Management  
by SEC Registered Investment  
Advisers = **\$128.4 Trillion**

Total Institutional Investor  
Assets Managed for ESG  
Objectives = **\$6.6 Trillion**

# US Mutual Fund Market



# US Mutual Funds Assets Under Management



Total Mutual Fund  
Assets = **\$27 Trillion**

Total ESG Mutual Fund  
Assets = **\$529 Billion**

# BlackRock US

